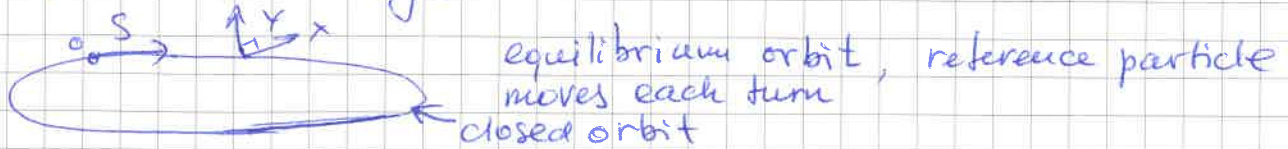


→ Electrostatic elements (lenses, deflectors, drifts, acc. sections) ⇒  
 ⇒ very similar descriptions as for magnetic elements (book)

## 6. Transverse beam dynamics

### 6.1. Coordinate system



The goal: describe beam position as a function of  $\vec{s}$  in the accelerator / lattice structure and after several revolutions

### 6.2. Hill's equations (linear approximation)

$$\left[ \begin{array}{l} \frac{d^2 x}{ds^2} + k_x(s) x = \frac{1}{\rho_0(s)} \frac{\Delta p}{p_0} \\ \frac{d^2 y}{ds^2} + k_y(s) y = 0 \end{array} \right] \quad (*)$$

assume first  $\frac{\Delta p}{p_0} = 0$ , monochromatic / monoenergetic beam

→ result: oscillation along the reference orbit  $\vec{s}$   
 with variable amplitude  $\propto \sqrt{\beta(s)}$  and variable  
 wave number  $1/\beta(s)$

### 6.3. Twiss matrix

- if formal solution of (\*) - motion of a particle obviously depends on the start values  $[y(s), y'(s)]$  at a given  $s$ .

- special matrix

$$M = R(s+C) \quad C = \text{circumference}$$

- general form

$$M = \begin{pmatrix} \cos \mu + \alpha \sin \mu & \beta \sin \mu \\ -\delta \sin \mu & \cos \mu - \alpha \sin \mu \end{pmatrix} \Rightarrow \det(M) = 1$$

$$\Rightarrow \underbrace{\cos \mu}_{\mathbf{I}} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \underbrace{\sin \mu}_{\mathbf{J}} \begin{pmatrix} \alpha & \beta \\ -\delta & -\alpha \end{pmatrix}$$

$\alpha, \beta, \delta$  - Twiss parameters

$$\det(M) = 1 \Rightarrow \det(J) = \beta\delta - \alpha^2 = 1; J^2 = J \circ J = -I \quad (2)$$

- Stability criterion

for many turns  $N$

$$M^N = \left( \frac{1}{2} \text{Tr} M + J \sin \mu \right)^N = \frac{1}{2} \text{Tr} M^N + J \sin N\mu$$

similar to von Moirre formula:  $(\cos \mu + i \sin \mu)^N = \cos N\mu + i \sin N\mu$

$$\cos \mu = \frac{1}{2} \text{Tr} M = \frac{1}{2} (M_{11} + M_{22})$$

$$\sin \mu = \text{sign}(M_{12}) \sqrt{1 - \cos^2 \mu}$$

$$\beta = \frac{M_{12}}{\sin \mu}$$

$$\alpha = (M_{11} - M_{22}) / 2 \sin \mu$$

$$\delta = -M_{21} / \sin \mu$$

} real

$\alpha(s), \beta(s), \delta(s)$  -  
optical, betatron,  
amplitude, lattice  
functions

Goal: describe machine

$\mu$  - independent of  $s$ , machine parameter defined by  $M$   
to  $2\pi$  = phase advance of  $\beta(s)$  per revolution

6.3. Solution of Hill's equations

$$y'' + K_y(s)y = 0$$

(6.24) in Hinderberger

$$y(s) = a \sqrt{\beta(s)} \cos[\psi(s) + \psi_0] \quad (**)$$

$a, \psi_0$  are defined for each particle, which are the  
amplitude and the phase of oscillations, resp.

$a \sqrt{\beta(s)}$  - variable amplitude along  $\vec{s}$

$\frac{d\psi}{ds} = \frac{1}{\beta(s)}$  - variable wave number / wavelength

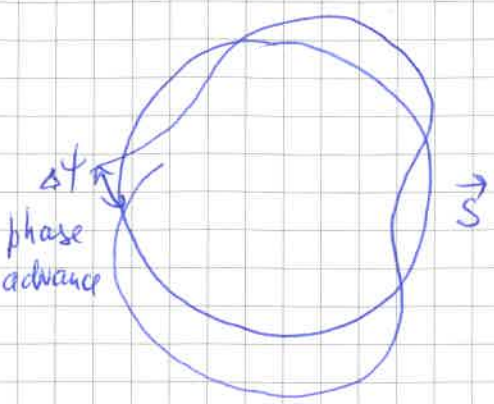
$$\lambda(s) = 2\pi \beta(s)$$

phase shift / phase advance

$$\mu = \int_s^{s+C} \frac{ds}{\beta(s)} = \oint \frac{ds}{\beta(s)}$$

number of betatron oscillations per revolution, betatron  
tune

$$Q = \frac{\mu}{2\pi} = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)}$$



if  $\Delta\psi = 0$   
 the particle ~~fits~~ <sup>moves</sup> always on the  
 same orbit ! resonance !  
 disturbances will be multiplied  $\Rightarrow$   
 instability

### 6.4. Courant - Snyder Invariant

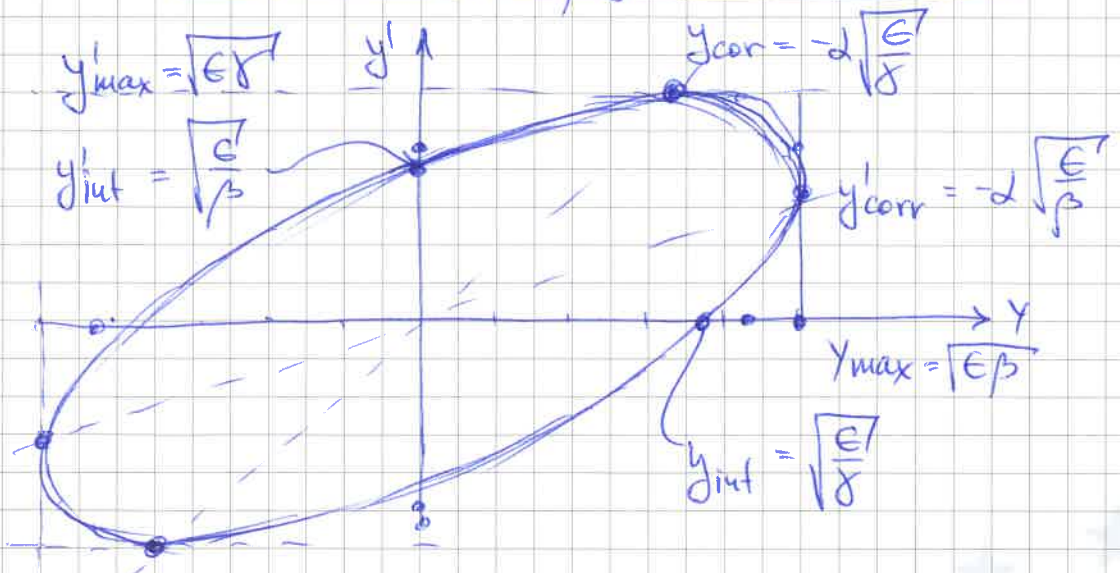
$$y(s) = a\sqrt{\beta(s)} \cos[\psi(s) + \psi_0] \quad (**)$$

what is  $a$ ?

one can rewrite **(\*\*)** after a lengthy math to:

$$\frac{y^2}{\beta} + \left(\frac{\alpha y + \beta y'}{\beta}\right)^2 = a^2 = \epsilon \quad \text{- C-S-Invariant}$$

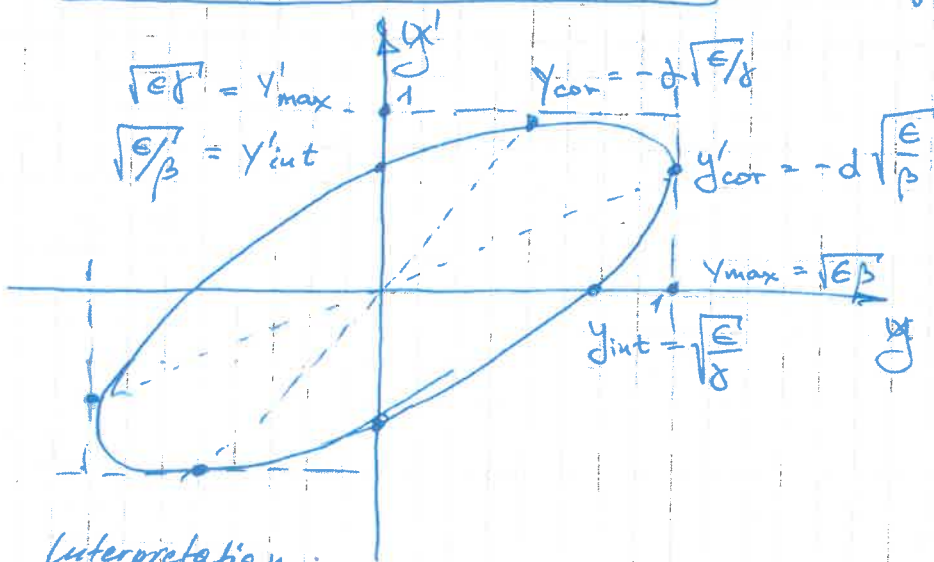
$$\delta y^2 + 2\alpha y y' + \beta y'^2 = a^2 = \epsilon$$



$$\beta \quad \beta$$

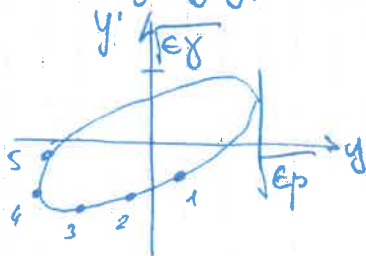
$$\delta y^2 + 2dyy' + \beta y'^2 = a^2 = \epsilon$$

$$d = \frac{1+d^2}{\beta} = \frac{1 + \left(\frac{\beta'}{2}\right)^2}{\beta}$$



Interpretation:

1. a particle with coordinates  $(y, y')$  propagates along a changing ellipse
2. the area of the ellipse is constant and defined by  $a$
3. the shape of the ellipse is defined by the machine via  $d(s), \beta(s), \delta(s)$  - functions  $\rightarrow$  machine ellipse
4. plotting  $(y, y')$  after each revolution gives an ellipse



5. all particles with smaller  $a$  - are enclosed in the ellipse

## 6.5. Beam emittance & machine acceptance

- beam ~~envelope~~ <sup>emittance ellipse</sup>, if machine & beam are matched

$$\sigma_x = \sqrt{\epsilon_x \beta_x}$$

↑ 1σ-emittance

- RMS-emittance / 1σ-emittance

$$\epsilon_x^{1\sigma} = \sqrt{\langle X^2 \rangle \langle X'^2 \rangle - \langle X X' \rangle^2}$$

for N-particles

$$\epsilon_x^{1\sigma} = \frac{1}{N} \sum_i^N \epsilon_{x,i} = \frac{1}{N} \sum_i^N \left[ \underbrace{\alpha_x}_{\text{machine parameters}} X_i^2 + 2 \underbrace{d_x}_{\text{machine parameters}} X_i X_i' + \underbrace{\beta_x}_{\text{machine parameters}} X_i'^2 \right]$$

- beam envelope

$$y_{\max}(s) = \sqrt{\epsilon} \sqrt{\beta(s)}$$

$$y'_{\max}(s) = \sqrt{\epsilon} \sqrt{\delta(s)}$$

↑ beam machine property

- acceptance, if a limiting aperture, e.g. through slits

$$\epsilon_{\max} = \frac{y_{\text{lim}}^2}{\beta_{\text{lim}}}, \quad A = \pi \epsilon_{\max}$$

↑ acceptance of the machine

## 6.6. Machine ellipse

Ellipses defined by  $d, \beta, \delta$  functions is nothing else but Eigenellipses  $\mathcal{C}_e$  of matrix  $M$  at each  $s$

$$\mathcal{C}_e = M \mathcal{C}_e M^T$$

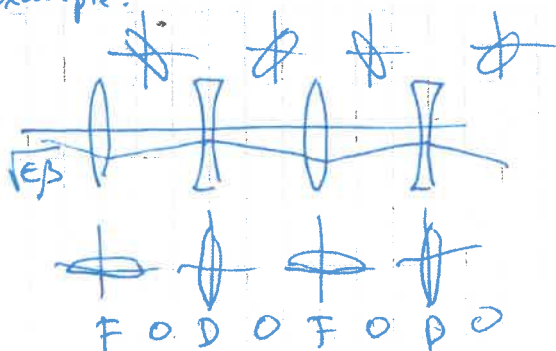
$$\mathcal{C}_e(s+C) = \mathcal{C}_e$$

Since  $M(s) = \cos \mu I + \sin \mu J \Rightarrow \mathcal{C}_e(s) = \epsilon \begin{pmatrix} \beta(s) & -d(s) \\ -d(s) & \delta(s) \end{pmatrix}$

$\mathcal{C}_e$  - beam profile

$\mathcal{C}_e$  - determined through  $d(s), \beta(s), \delta(s)$

example.



### 6.7 Transformation of Twiss parameters

$$R = \begin{pmatrix} c & s \\ c' & s' \end{pmatrix}$$

from machine ellipses

$$G = R G_0 R^T$$

ellipse  $\rightarrow \begin{pmatrix} +\beta & -d \\ -d & \gamma \end{pmatrix} = R \begin{pmatrix} \beta_0 & -d_0 \\ -d_0 & \gamma_0 \end{pmatrix} R^T$

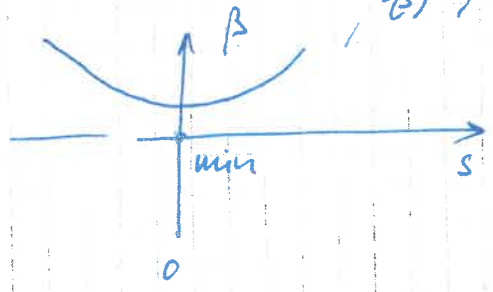
$$\begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix} = \begin{pmatrix} c^2 & -2sc & s^2 \\ -cc' & sc' + s'c & -ss' \\ c'^2 & -2s'c' & s'^2 \end{pmatrix} \begin{pmatrix} \beta_0 \\ d_0 \\ \gamma_0 \end{pmatrix}$$

#### example drift

start at beam waist  $d_0 = 0, \gamma_0 = \frac{1}{\beta_0}$  (around minimum)

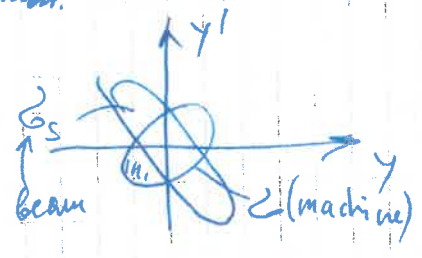
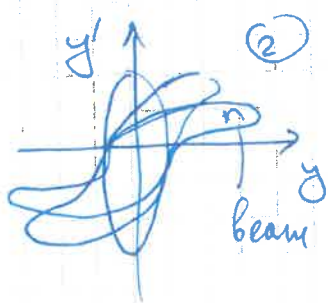
$$\beta(s) = \beta_0 + \frac{s^2}{\beta_0}$$

$c \approx 1, s \approx s$



### 6.8 Matching of a beam and a machine

errors of 2<sup>nd</sup> and higher order  $\Rightarrow$  particles with  $a > a_{mach}$  have  $\Delta\varphi$  different from "accepted" w.l.



## 6.9. Summary

-7- (26)

- Twiss matrix

$$M = \begin{pmatrix} \cos \mu + d \sin \mu & \beta \sin \mu \\ -\delta \sin \mu & \cos \mu - d \sin \mu \end{pmatrix} = \cos \mu \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \sin \mu \begin{pmatrix} d & \beta \\ -\delta & -d \end{pmatrix}$$

- periodicity

$$\beta(s+C) = \beta(s)$$

-  $d(s)$  &  $\delta(s)$

$$d(s) = -\frac{1}{2} \beta'(s)$$

$$\delta(s) = \frac{1 - d^2(s)}{\beta(s)} = \frac{1 + (\beta'(s)/2)^2}{\beta(s)}$$

- Eigenellipse of machine

$$C_e = E \begin{pmatrix} \beta(s) & -d(s) \\ -d(s) & \delta(s) \end{pmatrix}$$

- beam envelope

$$y_{\max}(s) = \sqrt{\epsilon \beta(s)}$$

- orbit of an individual particle

$$y(s) = a \sqrt{\beta(s)} \cos(\psi(s) + \psi_0) = a \sqrt{\beta(s)} \cos \left[ \int_{s_0}^s \frac{ds}{\beta(s)} + \psi_0 \right]$$

- local wave number

$$k(s) = \frac{2\pi}{\lambda(s)} = \frac{d\psi}{ds} = \frac{1}{\beta(s)}$$

- local wave length of betatron oscillation

$$\lambda(s) = 2\pi\beta(s)$$

- phase advance

$$\Delta\psi = \int_{s_0}^s \frac{ds}{\beta(s)}$$

- phase advance per revolution

$$\mu = \oint \frac{ds}{\beta(s)}$$

- betatron tune, number of betatron oscillations

$$Q = \frac{1}{2\pi} \mu = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)}$$