

QED: The Casimir Effect (force)

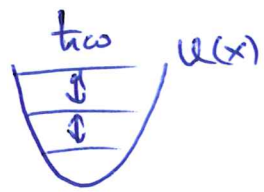
Quantum Field Theory and Effective Field Theory (EFT)

- correlations
- fluctuations
- vacuum structure

- valid within some scale/range
- quantitatively captures effects of the neglected degrees of freedom

In QED we can consider field operators as creating/annihilating EM modes.

waves \sim harmonic motion \sim harmonic oscillator



$$\hat{H} = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 x^2 = \hbar \omega \left(\hat{a}^\dagger \hat{a} + \frac{1}{2} \right)$$

(massive particle)

(general)

$\hat{N} \sim$ # of excitations (photons)

Eigen-energies for a given mode: $E_n = \hbar \omega_n (N_n + \frac{1}{2})$

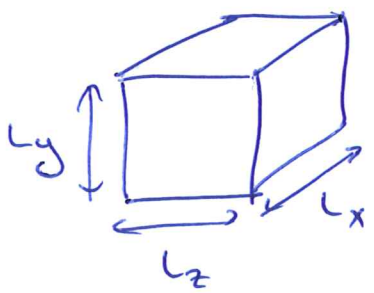
Total energy in the EM field: $E_{tot} = \sum_n E_n = \hbar \sum_n \omega_n N_n$

$T=0$
 $(N_n=0)$

Free space: ω allowed with any value (continuous \rightarrow integral)

$+\frac{\hbar}{2} \left[\sum_n \omega_n \right]$
problem?

Boundary conditions: only specific modes can exist...



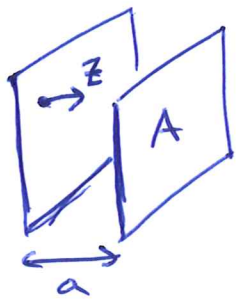
conducting box: $\hat{n} \times \vec{E} = 0$
 $\hat{n} \cdot \vec{B} = 0$

$\sin(k_x x) \rightarrow \sin\left(\frac{m_x \pi}{L_x} x\right)$ etc.

i.e., $|\vec{k}| = \sqrt{\left(\frac{m_x \pi}{L_x}\right)^2 + \left(\frac{m_y \pi}{L_y}\right)^2 + \left(\frac{m_z \pi}{L_z}\right)^2}$

Energy for a given mode: $\hbar \omega = \hbar c |\vec{k}|$
 $= \hbar c \sqrt{k_x^2 + k_y^2 + k_z^2}$

We can treat the 3D case (see Itzykson + Zuber) but let's look at a simplified 1D example. Boundaries only in z , neglect x and y entirely (for now).



3D parallel
conducting
plates

1D Simplification:

$$k = k_z = \frac{n\pi}{a} \quad n = 1, 2, 3, \dots$$

mode index

Include 2 polarizations for each mode, and keep zero-temperature limit:

$$E_{\text{tot}}(T=0) = 2 \frac{\hbar}{2} \sum_{n=1}^{\infty} \omega_n = \frac{\hbar c \pi}{a} \sum_{n=1}^{\infty} n$$

$$= \frac{\hbar c \pi}{a} (1 + 2 + 3 + \dots)$$

$$= -\frac{1}{12}$$

Plausibility argument (math):

Euler, Grandi's series $1 - 1 + 1 - 1 + \dots = \frac{1}{2}$

$r = 1$ is outside the convergence interval, but we can abuse the result $\sum_{n=0}^{\infty} r^n = \frac{1}{1-r}$ ($|r| < 1$)

Less trivial: $1 + 1 + 1 + \dots$ ($r = +1$) $\rightarrow \frac{-1}{2}$

analytic continuation allows extension of functions into a domain where their series representation diverges (see Terry Tao's blog, 10.04.2010, for a discussion in relation to these series)

Plausibility argument (physics): does it make sense to take the upper limit to ∞ ?

Boundary conditions arise from conductivity
 \rightarrow penetration depth drops for large ω (large n)

Short wavelengths (large $k = \frac{2\pi}{\lambda}$) eventually smaller than atomic size

\rightarrow hard x-rays or γ -rays will penetrate easily

Conclusion: our theory breaks down above some energy/frequency scale $\Delta = \hbar \omega_c = \frac{\hbar c \pi}{a}$

We could impose a hard cut-off (simply truncate the sum), but it is more likely that higher modes simply have diminishing contributions.

So let's impose an exponential factor with a parameter ϵ that we can later take as small (acknowledging that to do this properly requires additional math...)

$$\text{let } \sum_{n=1}^{\infty} n \rightarrow \sum_{n=1}^{\infty} n e^{-\epsilon n} = -\frac{\partial}{\partial \epsilon} \left(\sum_{n=1}^{\infty} e^{-\epsilon n} \right)$$

So we interpret $\epsilon \sim \hbar \omega_c^{-1}$ via the critical mode index n_c , with the factor $e^{-\epsilon n} \sim e^{-k/k_c}$ or $e^{-E/\Lambda}$ damping certain modes. We recover the full divergent sum for $\left\{ \begin{array}{l} \epsilon \rightarrow 0 \\ n_c \rightarrow \infty \end{array} \right.$

$$\begin{aligned} \text{Thus, } E_{\text{tot}}(\epsilon) &= -\frac{\pi}{a} \frac{\partial}{\partial \epsilon} \sum_{n=1}^{\infty} (e^{-\epsilon})^n \quad \left[\text{note sum from } n=1 \right] \\ &= -\frac{\pi}{a} \frac{\partial}{\partial \epsilon} \left[\frac{1}{1-e^{-\epsilon}} - 1 \right] = -\frac{\pi}{a} \left(\frac{-e^{-\epsilon}}{(e^{\epsilon/2} - e^{-\epsilon/2})^2} \cdot 2e^{\epsilon/2} \right) \\ &= +\frac{\pi}{a} \left(2 \sinh \frac{\epsilon}{2} \right)^{-2} \quad \begin{array}{l} \sinh x = \frac{1}{2}(e^x - e^{-x}) \\ e^x = \sum_{n=0}^{\infty} \frac{x^n}{n!} \end{array} \\ &= \frac{\pi}{a} \left[2 \left(0 + \frac{\epsilon}{2} + \frac{1}{6} \left(\frac{\epsilon}{2} \right)^3 + \frac{1}{120} \left(\frac{\epsilon}{2} \right)^5 + \dots \right) \right]^{-2} \\ &= \frac{\pi}{a} \left[\epsilon^{-2} \left(1 + \frac{1}{24} \epsilon^2 + \frac{1}{1920} \epsilon^4 + \dots \right) \right]^{-2} \\ &= \frac{\pi}{a \epsilon^2} \left[1 - 2 \cdot \frac{\epsilon^2}{24} + \mathcal{O}(\epsilon^4) \right] \quad (1+x)^m = 1 + mx + \dots \\ &= \frac{\pi}{a} \left[\frac{1}{\epsilon^2} - \frac{1}{12} + \frac{\epsilon^2}{240} - \mathcal{O}(\epsilon^4) \right] \end{aligned}$$

captures the full divergence in the $\epsilon \rightarrow 0$ limit

constant cutoff-dependent part

So we have to re-interpret $\sum_{n=1}^{\infty} n$ a little bit:

$$E_{\text{tot}} = \frac{\pi}{a} (1+2+3+\dots)$$

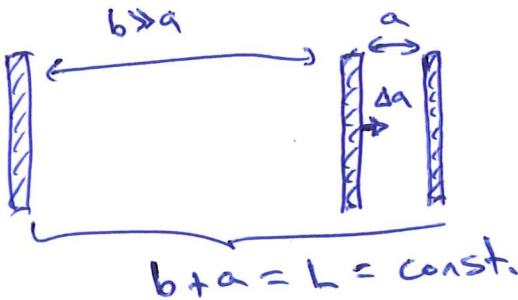
"∞" = $-\frac{1}{12}$

Infinite part: ground-state (zero-point) energy density for the region within the boundaries.

It turns out that the divergent part we have separated out is not of relevance for measurable forces.

The finite part is the measurable Casimir effect.

To see this, recall the cut-off scale $\Lambda = \hbar c k_c$
 and imagine our 1D "box" is embedded in a larger "universe" of fixed size L :



Total energy: $U = E_a + E_b$

$$E_a = \frac{\pi}{a \epsilon^2} - \frac{\pi}{12a} = \frac{\pi}{a} \cdot \frac{a^2 k_c^2}{\pi^2} - \frac{\pi}{12a}$$

$$\Rightarrow U = \frac{\hbar c^2}{\pi} \underbrace{\frac{(a+b)}{L}}_{\text{large but constant}} - \underbrace{\frac{\pi}{12a}}_{\text{Casimir energy}} - \underbrace{\frac{\pi}{12b}}_{\text{small (b \ll a)}} + \mathcal{O}(k_c^2)$$

cut-off dependence (can also include corrections...)

Now note that we don't measure U directly... we measure a force associated with changing a slightly:

$$F = -\nabla_a U \quad \text{gets no contribution from the large constant}$$

Recall $\hbar c \approx 197 \text{ MeV} \cdot \text{fm} \Rightarrow$ Energy: $[\hbar c k] \sim \left[\frac{\hbar c}{a} \right] \sim \text{MeV}$

$$F_{1D} = \frac{\pi \hbar c}{12a^2} \quad (\text{attractive})$$

$$\text{Force: } [\nabla_a U] \sim \left[\frac{\hbar c}{a^2} \right]$$

$$\text{Pressure: } \left[\frac{\text{Force}}{\text{Area}} \right] \sim \left[\frac{\hbar c}{a^4} \right]$$

$$F_{3D} = \frac{\pi^2 \hbar c}{240a^4} \quad (\text{per unit area, parallel plates} \rightarrow \text{"Casimir pressure"})$$

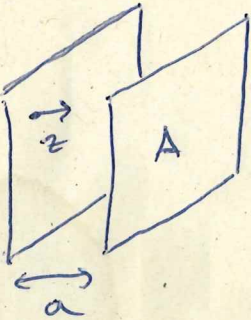
... still attractive, but geometry affects this ...

Casimir Force: Measurements

Theory reminder:

$$\left[\frac{F(a)}{A} \right] = \frac{\pi^2}{240} \frac{\hbar c}{a^4} \approx 0.013 \frac{\text{dyn}}{\text{cm}^2} \cdot \frac{(\text{cm})^4}{a^4}$$

pressure



$10 \mu\text{N}$
 $1.3 \times 10^{-7} \text{ N/cm}^2$ vs. $1 \text{ atm} \approx 10^5 \text{ N/cm}^2$
 $\Rightarrow 1.3 \times 10^{-5} \text{ mbar}$ for $1 \mu\text{m}$ separation
 $(A = 1 \text{ cm}^2)$

- zero point energy
- vacuum fluctuations
- real photons not needed ($T=0$)

ZooS: $> 1000 \text{ km}$
 $\text{fm} \sim 10^{-15} \text{ m}$

* QED affects macroscopic classical objects

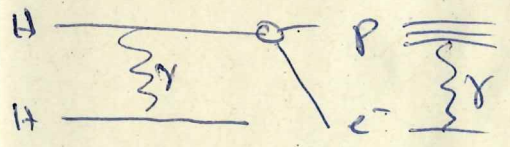
Casimir's "trick": introduce a cutoff

Note that we can view van der Waals, Casimir, Casimir-Polder as specific limits of a unified theory ("dispersion forces")

van der Waals
 (also London)



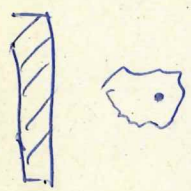
$\text{\AA}/\mu\text{m}$ - range, rel. retardation not important



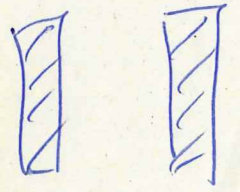
separation $<$ penetration depth

polarizable atom/molecule
 induced dipole-dipole
always attractive

Casimir-Polder



Casimir



larger separations, relativistic retardation is important \Rightarrow both QM and rel.

can also be repulsive \rightarrow geom. depends
 virtual photons do not reach 2nd body but QM fluctuations are correlated:

$$\langle E_k(t, \vec{r}_1) \rangle = 0 \text{ but } \langle E_k(t, \vec{r}_1) E_k(t, \vec{r}_2) \rangle \neq 0$$

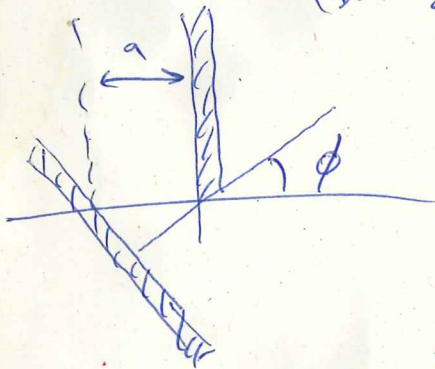
Note Casimir imagined an electron w/ this force balancing electrostatic repulsion \Rightarrow falsified by experiment

Lifshitz theory: (1956) considers dispersion forces from fluctuations in dissipative media. I.e., E-field fluctuates w/in and outside any medium.

Fluctuation-Dissipation theorem: relates fluctuation spectrum (at equilibrium) to the medium's generalized susceptibility (response to external influences). (cf. $\vec{P} = \epsilon_0 \chi(\vec{E})$)

First experiments:	Year	Experiment	error
	1958	Sparnaay (parallel plates)	~100%
	1997	Lamoreaux (plate-sphere)	5-10%
	1998	Mohideen + Roy (plate-sphere)	1%

Sparnaay: "...do not contradict Casimir's theoretical prediction" (but does rule out his model of the electron)



Alignment was major challenge

$$\frac{E}{A} = -q \frac{tc}{a^3} \quad \text{at } \phi = 0$$

$$\frac{\pi^2}{720} = G \approx 0.014 \rightarrow \frac{G(\phi)}{\sin \phi} \approx 0.007 - 0.012 \quad \text{for } \phi \neq 0$$

[0906.2313]

Effective distance is also a problem: $F \sim \frac{1}{a^4}$

\Rightarrow dominated by zones of closest approach
also hard to know what the precise distance is

Measurement: capacitance, potential $V \Rightarrow \frac{E}{A} = \frac{1}{2} \left(\frac{C}{A} \right) V^2$
small attractive force \Rightarrow increase C
 $= \frac{1}{2} \left(\frac{\epsilon_0}{a} \right) V^2$

Geometrical effects depend on surface energy
 \Rightarrow similar to Casimir + electrostatic

Surface roughness, let $z_1 = A_1 f_1(x_1, y_1)$ } $\max |f_i(x_i, y_i)| = 1$
[RMP 81.1827 (2009)] $z_2 = a + A_2 f_2(x_2, y_2)$

expansion in $\frac{A_i}{a}$ can treat roughness as small angles:

$$\frac{F(a)}{A} \times \left(1 + \frac{10}{a^2} [A_1^2 \langle \epsilon_1^2 \rangle + A_2^2 \langle \epsilon_2^2 \rangle - 2 \langle \epsilon_1 \epsilon_2 \rangle A_1 A_2] + O\left(\left(\frac{A_i}{a}\right)^3\right) \right)$$

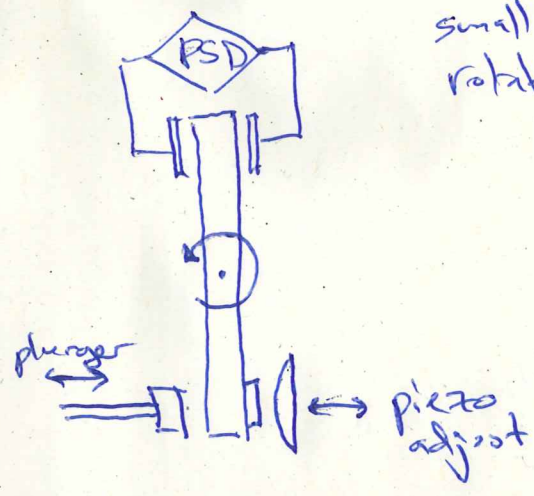
small angle α : $1 + \frac{10}{3} \left(\frac{\alpha L}{a}\right)^2 + 7 \left(\frac{\alpha L}{a}\right)^4 + \dots$ where $2L$ is the plate's characteristic length

Practical challenges:

- surface potentials/static charge
- thin films (insulating oxides)
- roughness, dust
- asymmetric vibrations
- hysteresis

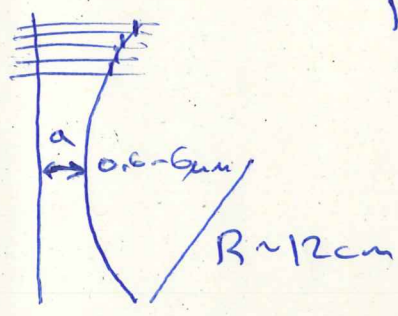
Note: conductive plates \Rightarrow optical alignment methods problematic

Lamoreaux: torsion pendulum, with one curved plate



small Casimir force produces torque \Rightarrow pendulum rotates to finite angle
 adjust the offset voltage to balance the dual capacitor \Rightarrow compensate torque
 measurement records offset voltage (capacitors compensated/balanced via phase-sensitive AC circuit)

[PRL 78.5 (1997)]



Highly tolerant of misalignment, but $\frac{F(a)}{A}$ no longer uniform \Rightarrow calculate total

Proximity Force Approximation ("mean")
 calculate total force as sum over infinitesimal parallel plates

$$\frac{F(a)}{A} \rightarrow \int r dr d\phi \frac{F(a)}{dA} \Rightarrow F_{tot} = 2\pi r \cdot \frac{\pi^2}{720} \frac{hc}{a^3}$$

factor from geom. curvature E/A for || plates

This works surprisingly well, but with the disadvantage that errors are not reliably evaluated

usually expect deviations of order $\frac{a}{R} \sim 10^{-5}$ (we)
 but need exact/numerical calculations to confirm
 (may not be available) here his, e.g. for plate-cylinder

Finite-temperature: large (~100%) correction!

$$k_B T \cdot \frac{a}{t_c} \approx 0.126 \frac{a}{\mu\text{m}} \text{ at } 300\text{K} \quad \left. \begin{array}{l} \leq \frac{1}{2} \Rightarrow a \leq 4\mu\text{m} \\ > \frac{1}{2} \Rightarrow a > 4\mu\text{m} \end{array} \right\}$$

$$F_{\text{tot}} \rightarrow F_{\text{tot}}^* \left\{ \begin{array}{l} 1 + \frac{720}{\pi^2} \left(\frac{k_B T a}{t_c} \right)^3 \frac{\zeta(3)}{2\pi} - 16 \left(\frac{k_B T a}{t_c} \right)^4 + \mathcal{O}(a^5) \\ \frac{720}{\pi^2} \left(\frac{k_B T a}{t_c} \right)^3 \frac{\zeta(3)}{8\pi} + \mathcal{O}(a^{-1}) \end{array} \right. \quad \zeta(3) \approx 1.2$$

$$= \left\{ \begin{array}{l} \frac{\pi^2 R}{360} \frac{t_c}{a^2} + R \zeta(3) \frac{(k_B T)^3}{t_c^2 c^2} - \frac{2\pi^3 R}{45} a \cdot \frac{(k_B T)^4}{t_c^3 c^3} + \mathcal{O}(a^2) \\ \frac{R}{4a} k_B T \zeta(3) \end{array} \right.$$

indp of t_c
 cf. long-wavelength
 limit (R-D) of blackbody

Finite conductivity: recall $\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2} \Rightarrow$ 10-20% correction

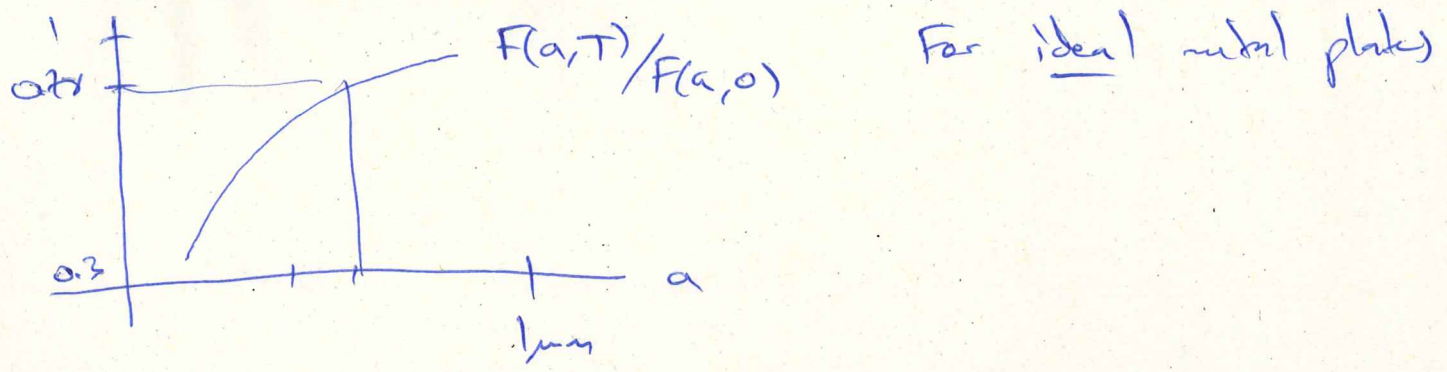
plasma frequency in metals, $\omega_p = \frac{2\pi c}{\lambda_p}$ and for $\left(\frac{\lambda_p}{a}\right)^2 \ll 1$

$$F_{\text{tot}} \rightarrow F_{\text{tot}}^* \left(1 - \frac{2\lambda_p}{\pi a} \right) = F_{\text{tot}}^* \left(1 - \frac{4c}{a\omega_p} \right)$$

$\equiv \eta$

In reality the correction to $F(a)$ varies more slowly
 \Rightarrow use experimental data for complex index of refraction

Indistinguishable from a calibrable error!



at smallest separation, $\eta(0.10 \mu\text{m}) \approx 0.78$ (700 m Au)
 0.79 Cu

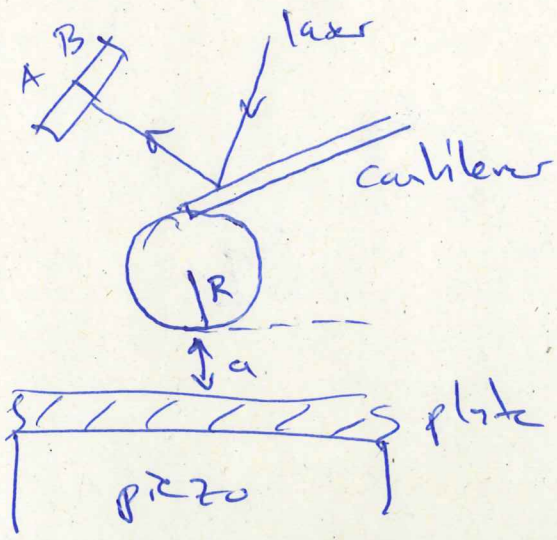
under if Au diffused into Cu, or film was thinner

Problems: large residual potential offset, electrostatic force
 data not accurate enough to demonstrate
 strike - T correction

best agreement around 1 μm
 small thermal correction
 skin effect and roughness partially compensate

measured force (Casimir) up to $\sim 100 \text{ nN} \approx 1000 \text{ pN}$

Mohideen + Ray AFM: atomic force microscope



flexibility of cantilever
 \rightarrow laser beam deflected, split photodiode signal
 measured $a \sim 100 \text{ nm} - 1 \mu\text{m}$
 $r/R = 200 \mu\text{m}$
 \rightarrow forces up to 120 pN at
 closest approach

Required corrections:

- finite conductivity
- roughness
- temperature

1.6 pN deviation (rms)
overall, but individual
corrections w/ both signs
and size - p to 48 pN