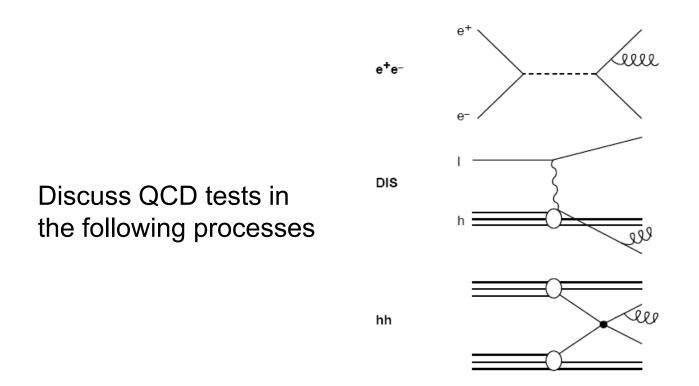
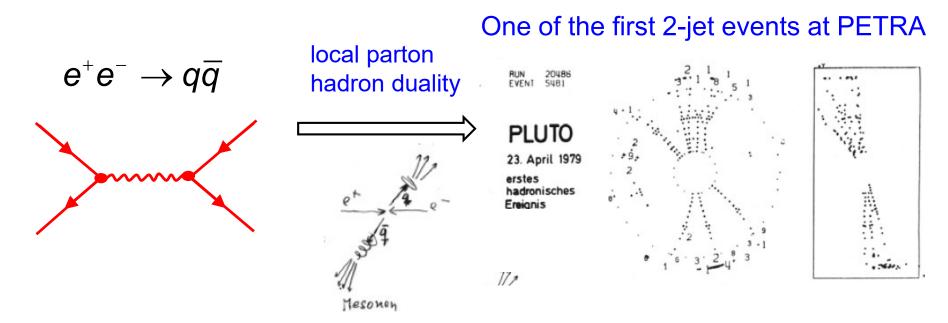
## **Experimental Tests of QCD**

- 1.Test of QCD in e+e- annihilation
- 2. Running of the strong coupling constant
- 3. Study of QCD in deep inelastic scattering
- 4.Hadron-hadron collisions
- 5. Quark Gluon Plasma in Heavy Ion collisions

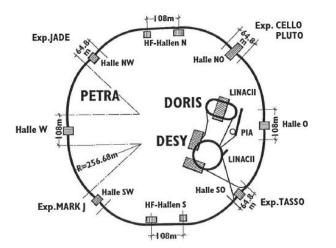


1

# Historical Recap: Discovery of the Gluon and its Spin

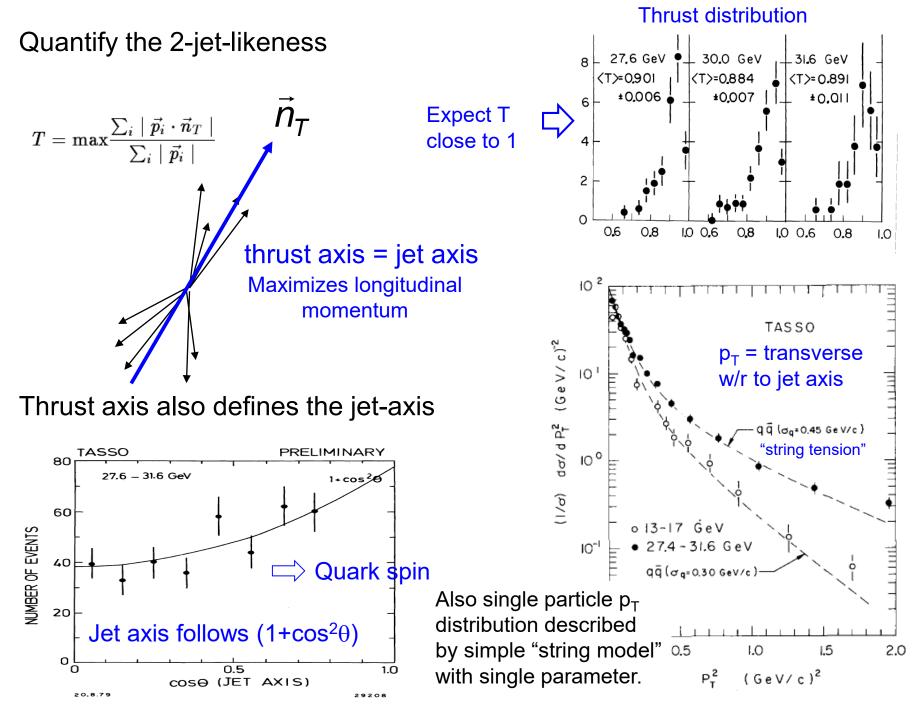


#### Remark:



PETRA (1978 -) was  $e^+e^-$  circular accelerator at DESY: operated at  $\sqrt{s}$  between 13 and 46 GeV.

Earlier e<sup>+</sup>e<sup>-</sup> machines (e.g. SPEAR) with  $\sqrt{s_{max}} \approx 10$  GeV: ee $\rightarrow$ qq events have been observed, however events much less jet-like (more spherical) due to the smaller boost.



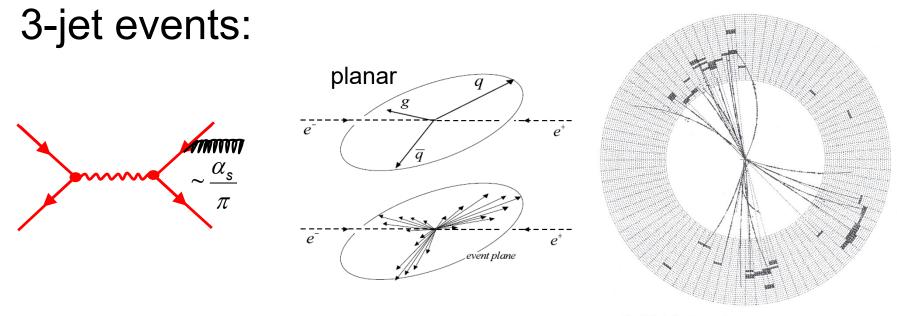
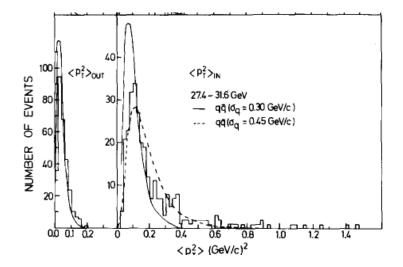


Fig. 11.12 A three-jet event observed by the JADE detector at PETRA.

#### But: How to exclude that the observed 3-jet signatures are fluctuations?

Exp:



Check transverse  $< p_T^2 >$  outside and inside event plane: fluctuations should be the same: Outside  $< p_T^2 >$  well described by 2-jet model. Inside: "broadening" cannot be described, even not by higher string-tension.

 $\frac{\#3-\text{ jet events}}{\#2-\text{ jet events}} \approx 0.15 \sim \frac{\alpha_s}{\pi}$ 

# Spin of the Gluon:

Angular distribution of jets depend on gluon spin:

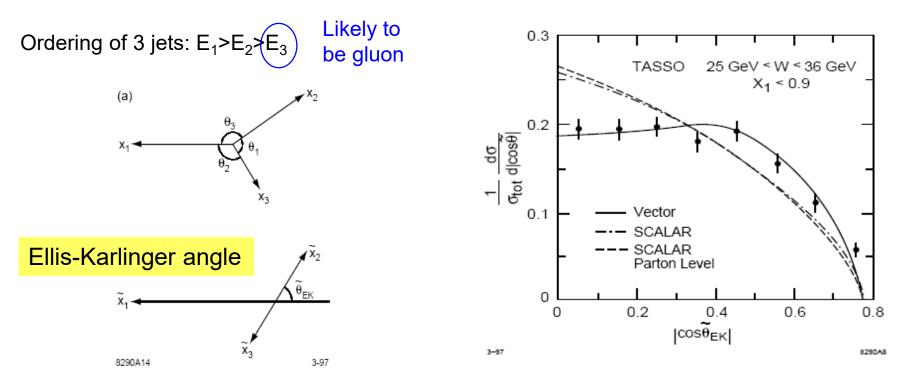


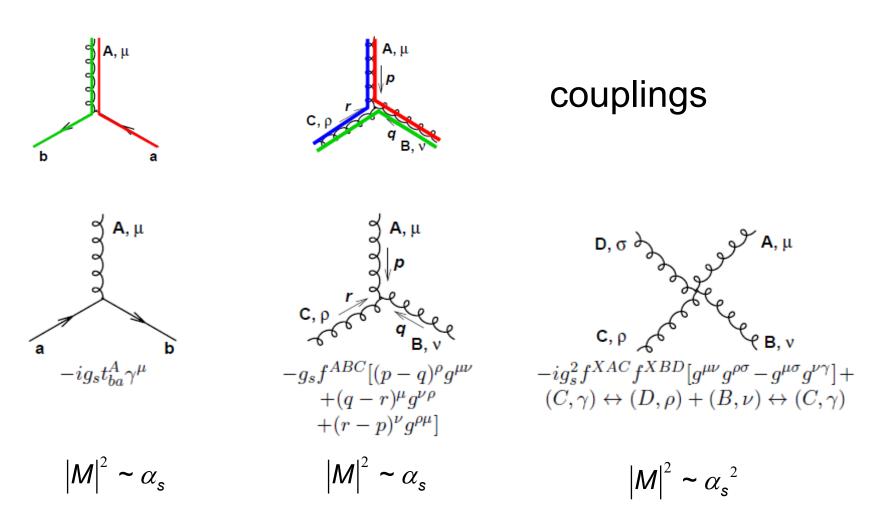
Figure 8: (a) Representation of the momentum vectors in a three-jet event, an (b) definition of the Ellis-Karliner angle.

Measure direction of jet-1 in the rest frame of jet-2 and jet-3:  $\theta_{\text{EK}}$ 

Figure 9: The Ellis-Karliner angle distribution of three-jet events recorded by TASSO at  $Q \sim 30$  GeV [18]; the data favour spin-1 (vector) gluons.

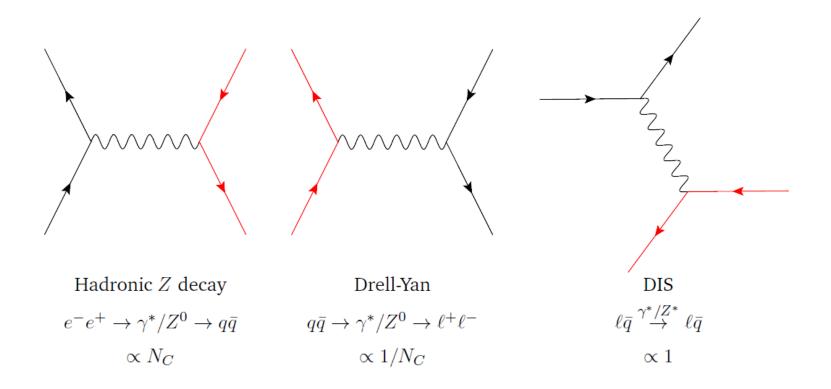


# Theory Recap: Couplings and Color Factors



6

## <u>Color factor $N_{C}$ for simple cross section</u>

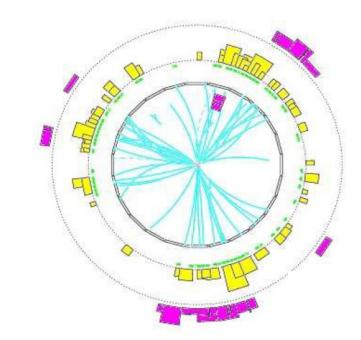


### Color factors

#### P. Z. Skands, Introduction to QCD

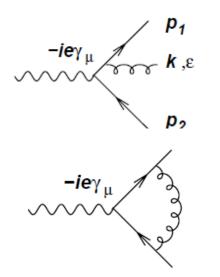
	Trace Relation	Indices	Occurs in Diagram Squared
(gluon splitting) <sup>2</sup>	$\operatorname{Tr}\{t^a t^b\} = T_R \delta^{ab}$	$a, b \in [1, \dots, 8]$	a 000000 000000 b
(quark color charge) <sup>2</sup>	$\sum_{a} t^a_{ij} t^a_{jk} = C_F \delta_{ik}$	$a \in [1, \dots, 8]$ $i, j, k \in [1, \dots, 3]$	$i \qquad j \qquad k$
(Gluon color charge) <sup>2</sup>	$\sum_{c,d} f^{acd} f^{bcd} = C_A \delta^{ab}$	$a, b, c, d \in [1, \dots, 8]$	a occord coord occord
Example: $Z \to qg\bar{q}$ : $\sum_{\text{colours}}  M ^2 \propto \delta_{ij} t^a_{jk} t^a_{k\ell} \delta_{\ell i}$ $= \operatorname{Tr}\{t^a t^a\}$ $= \frac{1}{2} \operatorname{Tr}\{\delta\} = 4,$ $q_i \qquad q_i \qquad q_i$			
	f SU(3) in standard on of generators	$T_R = \frac{1}{2} \qquad \qquad C_F = \frac{4}{3}$	$C_A = N_C = 3 .$

# Test of QCD in e+e- annihilation



# $e^+e^- \rightarrow q \, \overline{q}$

# Theory Recap: Gluon radiation



Gluon emission = infrared and collinear divergent

$$\sim \frac{2\alpha_{\rm s}C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$

There are similar divergencies arising from the interference with loop diagrams – they cancel each other for small energy and small angles.

Soft gluons don't matter for total cross section: Time scale for gluon emission ~1/E $\theta$  much longer than hard process. Hadronization w/ time scale ~1/ $\Lambda$  can also not influence "hard" process

Correction to the cross section (e.g.  $ee \rightarrow qq(g)$ ) from large energy gluons.

$$\sigma_{tot} = \sigma_{q\bar{q}} \left( 1 + 1.045 \frac{\alpha_{s}(Q)}{\pi} + 0.94 \left( \frac{\alpha_{s}(Q)}{\pi} \right)^{2} - 15 \left( \frac{\alpha_{s}(Q)}{\pi} \right)^{3} + \cdots \right) \quad \substack{\text{"good"} \\ \text{perturbative behavior} \\ \text{(coefficients determined at Z pole)}}^{\text{"good"}}$$

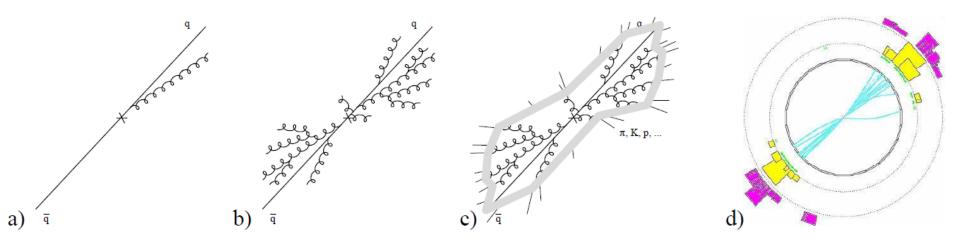
# Soft collinear gluon emission – jet substructure

Integrate emission probability to get the mean number of gluons with  $E_T \approx E_{\Theta} > Q_0$  cut-off scale emitted off a quark with energy  $Q >> Q_0$ :

$$\langle N_g \rangle \simeq \frac{2\alpha_s C_F}{\pi} \int^Q \frac{dE}{E} \int^{\pi/2} \frac{d\theta}{\theta} \Theta(E\theta > Q_0)$$
  
 $\langle N_g \rangle \simeq \frac{\alpha_s C_F}{\pi} \ln^2 \frac{Q}{\Lambda_{QCD}}$  for cut-off scale  $Q_0 \approx \Lambda_{QCD}$ :  
 $\longrightarrow \langle N_g \rangle \simeq 2.2$  for quark energies Q $\approx$ 100 GeV

Surprisingly large for a perturbative result!

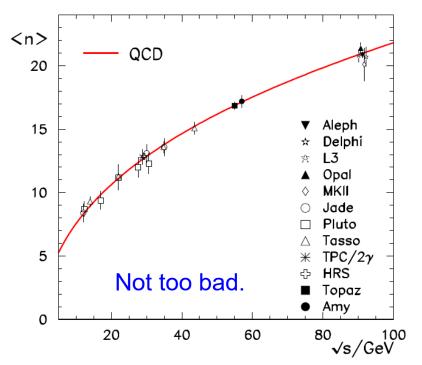
We need to consider next-orders.



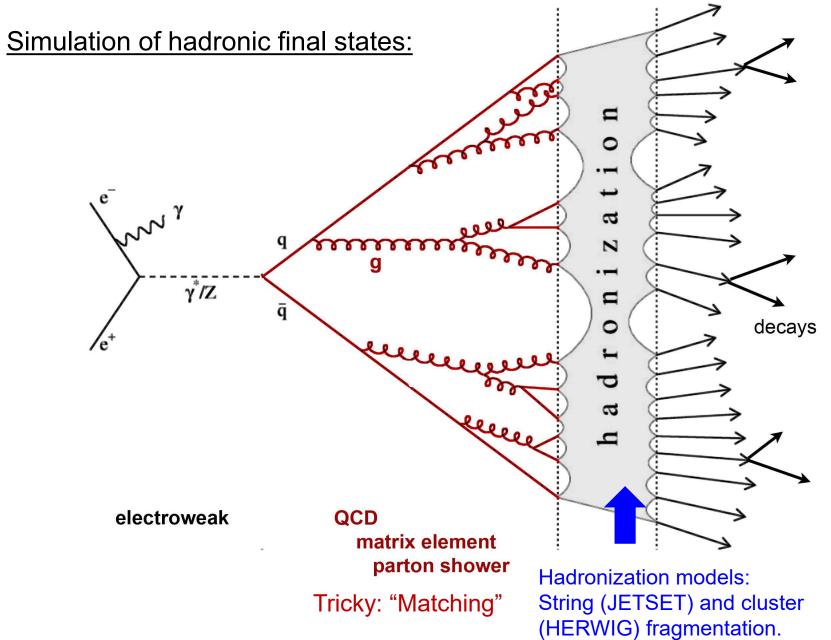
Possible to calculate the gluon multiplicity analytically, by summing all orders (n) of perturbation theory:

$$\langle N_g \rangle \sim \sum_n \frac{1}{(n!)^2} \left( \frac{C_A}{\pi b} \ln \frac{Q}{\Lambda} \right)^n$$
  
 $\sim \exp \sqrt{\frac{4C_A}{\pi b} \ln \frac{Q}{\Lambda}}$ 

Compare to hadron multiplicity in ee  $\rightarrow$  hadrons (fit: overall normalization is free parameter):



In general analytical calculation difficult: Instead use parton-shower Monte Carlos. 12



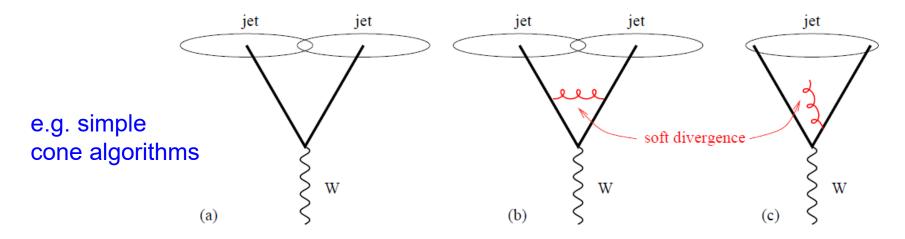
## Infrared collinear safe (IRCS) observables

An observable is infrared and collinear safe if, in the limit of a collinear splitting, or the emission of an infinitely soft particle, the observable remains unchanged.

n<sub>T</sub>

Jets and jet algorithms are not necessary IRCS

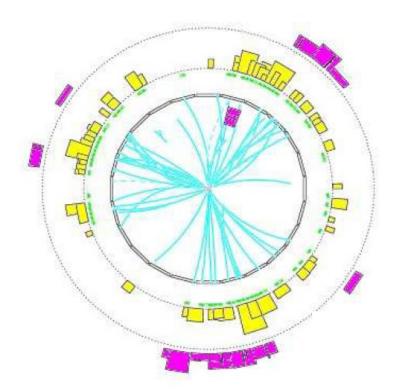
e.g; Thrust is IRCS



 $T = ext{max} rac{\sum_i \mid ec{p_i} \cdot ec{n}_T \mid}{\sum_i \mid ec{p_i} \mid}$ 

# How many jets – jet algorithms?

### Multi-jet event from OPAL



### Problem:

There is no "natural" definition of jets.

Need well defined algorithms which are also applicable to "theoretical calculations", i.e. at parton level.

In addition jet-algorithms should be "collinear" and "infra-red" safe:

# Jet Algorithms

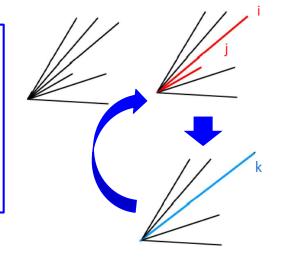
Iterative Jet algorithms ("Jade"-type, developed for e<sup>+</sup> e<sup>-</sup>)

1) for all pairs of particles i, j calculate distance parameter  $y_{ii}$ 

2) find pair i, j with smallest  $y_{ij, min}$ 

3) add 4-momenta:  $p_i + p_j = p_k$  replace  $p_i$ ,  $p_j$  by  $p_k$ 

4) iterate till  $y_{ij} > y_{cut}$ 



Distance measures:

$$y_{ij} = 2 \frac{E_i E_j (1 - \cos \theta_{ij})}{s}$$

$$y_{ij} = 2 \frac{\min(E_i^2, E_j^2)(1 - \cos\theta_{ij})}{s}$$

$$\min(E_i^2, E_j^2) \rightarrow \min(E_i^{-2}, E_j^{-2})$$

Jade algorithm: IRCS but theoretically difficult; large higher order correction. (invariant mass squared)

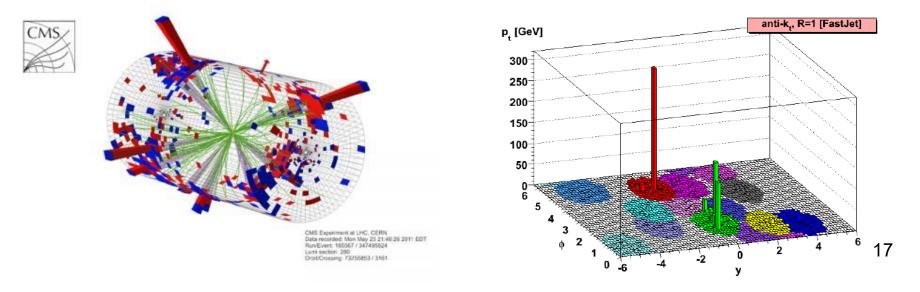
k<sub>T</sub> – algorithm: better higher order behavior (relative transverse momentum squared)

anti- $k_T$  – algorithm: often used nowadays ( $\rightarrow$ jets with only soft radiation are conical)

## Adaptation for hadron colliders

Due to kinematics the "jet cone" at hadron collider needs an adapted definition:

Use rapidity 
$$y_i = \frac{1}{2} \ln \frac{E_i + p_{z,i}}{E_i - p_{z,i}}$$
 and azimuthal angle  $\phi_{i:}$   
 $\rightarrow$  angular distance of 2 particles:  $\Delta R_{ij}^{2} = (y_i - y_j)^2 - (\phi_i - \phi_j)^2$   
(anti)-k<sub>t</sub> algorithm:  $d_{ij} = \min(p_{t,i}^{2l-2}, p_{t,i}^{2l-2}) \cdot \frac{\Delta R_{ij}^{2}}{R^2}$  Parameter to describe typ. jet opening: R=0.4...0.7 (see below)



# Cone algorithms

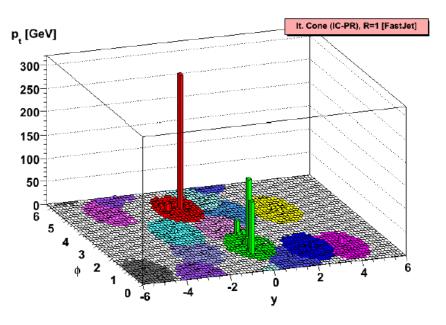
Simplest cone algorithm: Iterative cone algorithms w/ progressive removal

- 1) Order particles according transverse momentum
- 2) Select particle i w/ largest pt as seed particle
- Draw a cone with radius R (see above) around i: consider all particles j with ∆R<sub>ij</sub> < R w/r to i and calculate the sum of the momenta P
- 4) Check with seed direction if it does not coincide, chose  $\vec{P}$  as new seed and repeat procedure.
- 5) If stable cone found: remove all particles from the list.

Easy procedure, but not infrared safe (if seed particle loses energy...) – true for many other cone algorithms as well.

There are Seedless Infrared Safe Cone Algorithms (SISCone) to overcome the problem.

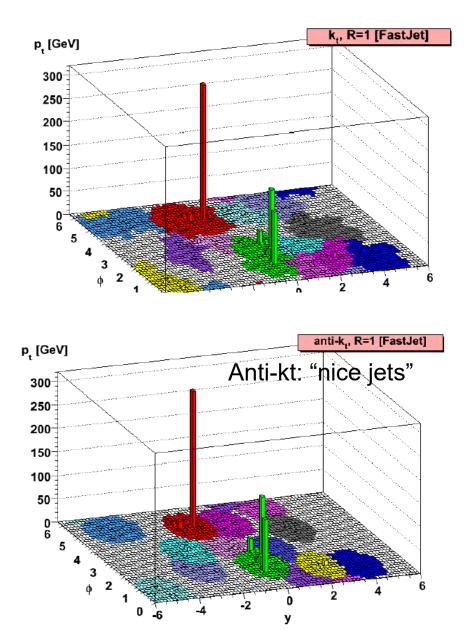
#### Cone algo: "nice jets"



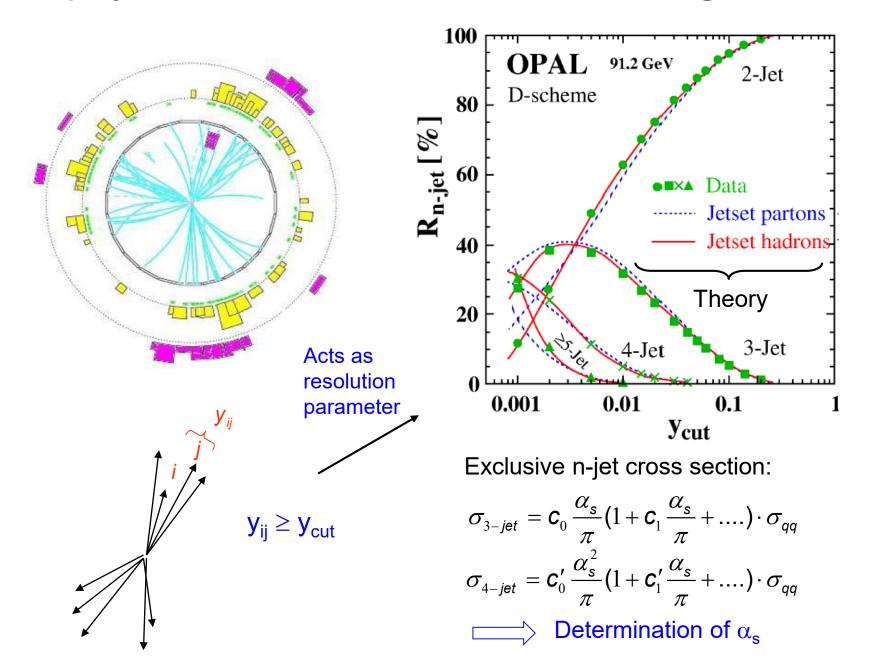
"The" jet algorithm does not exist – depends on what you want to do.

Meanwhile the most common algorithm is the anti-kt algorithm (fast, IRCS, nice jets)

#### kt algo: "fuzzy jets"

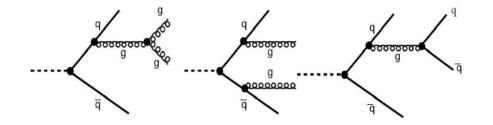


### Multiple-jet events in e<sup>+</sup>e<sup>-</sup> annihilations: ee $\rightarrow$ hadrons @ $\sqrt{s}$ 91 GeV



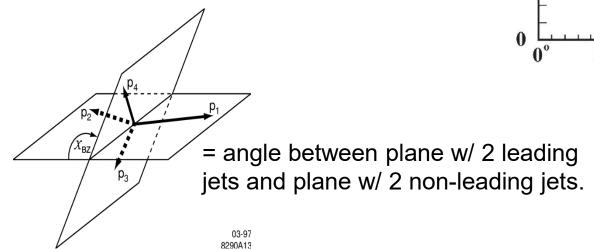
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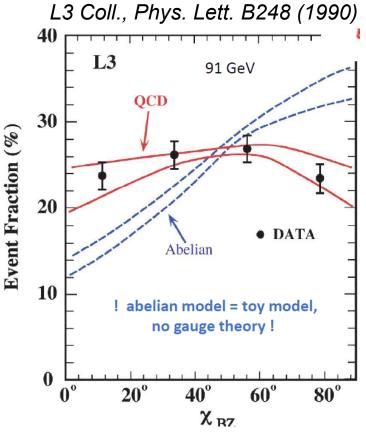
# 4-jet events: Gluon self coupling and color factors

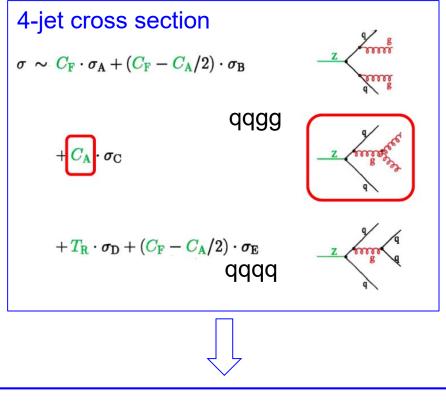


4-jet observable that is sensitive to the ratios of Casimir factors: Bengtsson-Zerwas angle

Order and labels the four jets in an event in terms of their momenta (or energies) such that p1 > p2 > p3 > p4 can define the Bengtsson-Zerwas angle: jet3 and jet4 probable gluon jets.

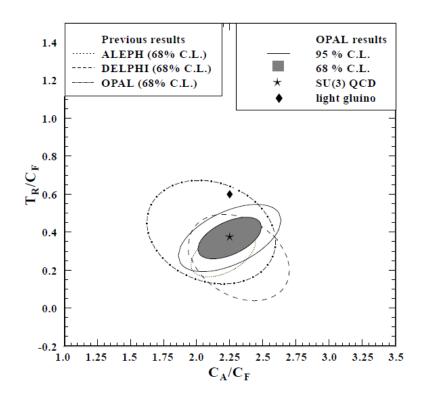






$$d\sigma^{(4)} \propto \left(\frac{\alpha_{\rm s}C_F}{\pi}\right)^2 \left[A(y_{ij}) + \left(1 - \frac{1}{2}\frac{C_A}{C_F}\right)B(y_{ij}) + \left(\frac{C_A}{C_F}\right)C(y_{ij}) + \left(n_f\frac{T_R}{C_F}\right)D(y_{ij}) + \left(1 - \frac{1}{2}\frac{C_A}{C_F}\right)E(y_{ij})\right],$$

A,B,C,D,E= angular dependent kinematic functions.

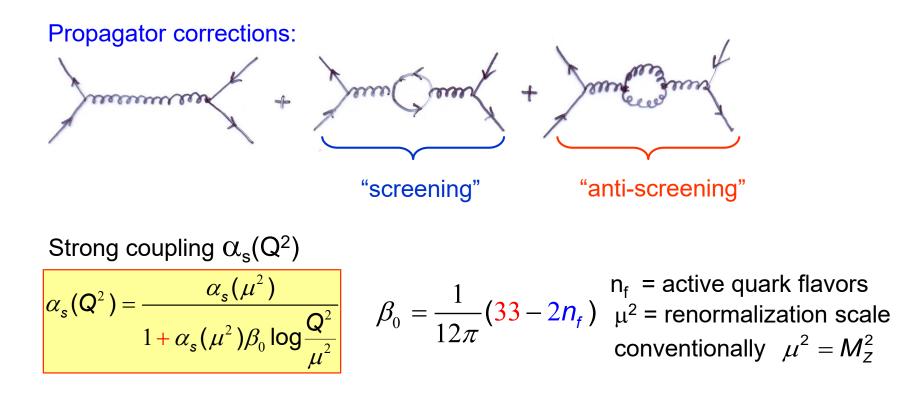


 $\begin{aligned} \alpha_s &= 0.120 \pm 0.011 (\text{stat.}) \pm 0.020 (\text{syst.}) \\ C_A &= 3.02 \pm 0.25 (\text{stat.}) \pm 0.49 (\text{syst.}) \\ C_F &= 1.34 \pm 0.13 (\text{stat.}) \pm 0.22 (\text{syst.}) \end{aligned}$ 

(w T<sub>R</sub> =1/2) QCD (SU(3)): C<sub>F</sub>=4/3 C<sub>A</sub>=3

2. "Running" of the strong coupling  $\alpha_{\text{s}}$ 

## Recap:



$$\alpha_{s}(Q^{2}) = \frac{1}{\beta_{0}\log(Q^{2}/\Lambda_{QCD}^{2})}$$

with  $\Lambda_{\rm QCD} \approx 210 \,{\rm MeV}$ 

scale at which perturbation theory diverges

## Measurement of $Q^2$ dependence of $\alpha_s$

 $\alpha_s$  measurements are done at given scale Q<sup>2</sup>:  $\alpha_s(Q^2)$ 

a)  $\alpha_s$  from total hadronic cross section at Z pole

Final state gluon radiation.

$$R_{had} = \frac{\sigma(ee \rightarrow hadrons)}{\sigma(ee \rightarrow \mu\mu)} = 3\sum Q_q^2 \left[ 1 + 1.05 \cdot \frac{\alpha_s(s)}{\pi} + 0.9 \cdot \frac{\alpha_s(s)^2}{\pi^2} + \dots \right]$$

Example:  $R_{had}^{Z} = 20.89 \pm 0.13$   $\delta_{QCD} = 0.0461 \pm 0.0065$  $\alpha_{s}(m_{z}) = 0.136 \pm 0.019$ 

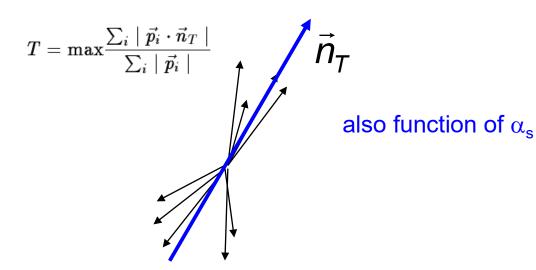
### b) $\alpha_s$ from hadronic event shape variables

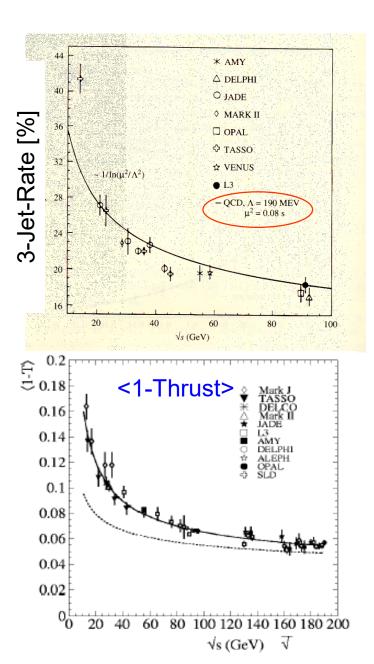
3-jet rate:  $R_3 \equiv \frac{\sigma_{3-jet}}{\sigma_{had}}$  depends on  $\alpha_s$ 3-jet rate is measured as function of  $y_{cut}$ 

QCD calculation provides a theoretical prediction for  $R_3^{theo}(\alpha_s$  ,  $y_{cut})$ 

 $\rightarrow$  fit  $\mbox{ R}_3{}^{\mbox{theo}}(\alpha_{\mbox{s}}\mbox{ , }\mbox{y}_{\mbox{cut}})$  to the data to determine  $\alpha_{\mbox{s}}$ 

Other event shape variables (sphericity, thrust,...) can be used to obtain a prediction for  $\alpha_s$ 





c)  $\alpha_s$  from hadronic  $\tau$  decays

$$R_{had}^{\tau} = \frac{\Gamma(\tau \rightarrow v_{\tau} + Hadrons)}{\Gamma(\tau \rightarrow v_{\tau} + e\overline{v_{e}})} \sim f(\alpha_{s})$$

$$R_{had}^{\tau} = \frac{\left| \frac{\tau}{\tau} - \frac{v_{\tau}}{w} + e\overline{v_{e}} \right|^{2}}{\left| \frac{\tau}{\tau} - \frac{v_{\tau}}{w} - \frac{v_{\tau}}{q} \right|^{2}} + \left| \frac{\tau}{\tau} - \frac{v_{\tau}}{w} - \frac{v_{\tau}}{q} \right|^{2}}{\left| \frac{\tau}{\tau} - \frac{v_{\tau}}{w} - \frac{v_{\tau}}{q} \right|^{2}} + \frac{r}{\tau} - \frac{v_{\tau}}{w} - \frac{v_{\tau}}{q} - \frac{v_{\tau}}{q}}{\left| \frac{\tau}{\tau} - \frac{v_{\tau}}{w} - \frac{v_{\tau}}{q} \right|^{2}} + \frac{r}{\tau} - \frac{v_{\tau}}{w} - \frac{v_{\tau}}{q} - \frac{v_{\tau}}{q}$$

d)  $\alpha_s$  from DIS (deep inelastic scattering): DGLAP fits to PDFs e)  $\alpha_s$  from number of jets in pp (see sect. 3)

## Running of $\alpha_{\rm s}$ and asymptotic freedom

Experimental determination.  $\alpha_s(M_Z^2) = 0.1175 \pm 0.0010$ 

Alphas from the lattice:

 $\alpha_s(M_Z^2) = 0.1182 \pm 0.0008$ 

Unweighted average w/ average uncertainty of the two:

$$\alpha_s(M_Z^2) = 0.1179 \pm 0.0009$$

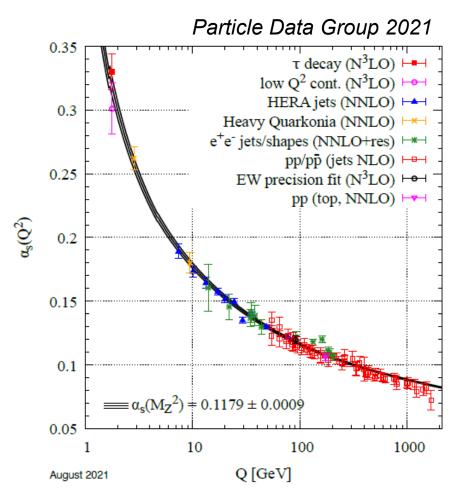
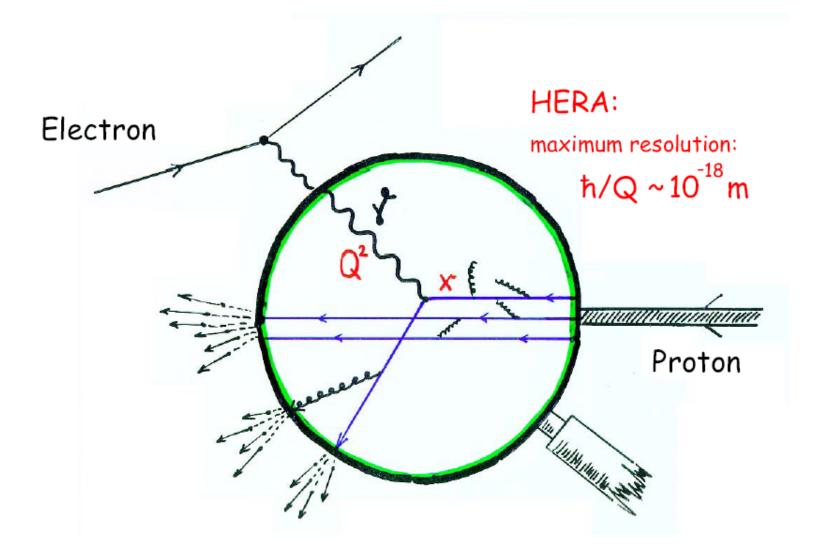


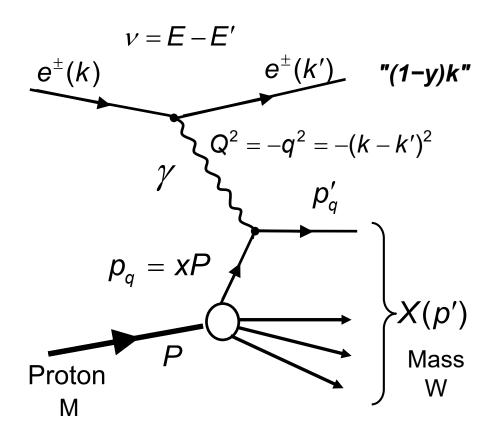
Figure 9.3: Summary of measurements of  $\alpha_s$  as a function of the energy scale Q. The respective degree of QCD perturbation theory used in the extraction of  $\alpha_s$  is indicated in brackets (NLO: next-to-leading order; NNLO: next-to-leading order; NNLO: next-to-leading order; NNLO matched to a resummed calculation; N<sup>3</sup>LO: next-to-NNLO).

# 3. Study of QCD in deep inelastic scattering (DIS)



Courtesy: H.C. Schultz-Coulon

Recap: Deep-inelastic scattering - kinematics

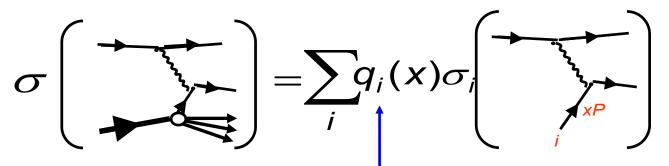


$$y = \frac{P \cdot q}{P \cdot k}$$

$$x = \frac{Q^2}{2P \cdot q} = \frac{Q^2}{2M_V}$$
(Bjorken x)
$$Q^2 = sxy$$

$$s = CMS e^{29}$$

#### **Cross section in parton model (QPM)**



Parton density q<sub>i</sub>(x)dx : Probability to find parton i in momentum interval [x, x+dx]

$$\frac{d^2\sigma}{dQ^2dx} = \left(\frac{4\pi\alpha^2}{Q^4}\right)\frac{E'}{E} \cdot \sum_i \int_0^1 e_i^2 \cdot q_i(\xi) \cdot \delta(x-\xi)d\xi \left(\cos^2\frac{\theta}{2} + \frac{Q^2}{2x^2M^2}\sin^2\frac{\theta}{2}\right)$$

Parton distribution function PDF:

$$F_{2}(x) = x \sum_{i} \int_{0}^{1} e_{i}^{2} q_{i}(\xi) \cdot \delta(x - \xi) d\xi = x \sum_{i} e_{i}^{2} q_{i}(x)$$

$$F_{1}(x) = \frac{1}{2} \sum_{i}^{0} e_{i}^{2} q_{i}(x)$$

$$F_{2} = F_{2}(x)$$

Photon exchange  $\sim e_i^2$  does not distinguish between quark and anti-quarks. <sup>30</sup>

$$\frac{d^{2}\sigma}{dQ^{2}dx} = \left(\frac{4\pi\alpha^{2}}{Q^{4}}\right)\frac{E'}{E} \cdot \left(\frac{F_{2}(x)}{x}\cos^{2}\frac{\theta}{2} + 2F_{1}(x)\frac{Q^{2}}{2x^{2}M^{2}}\sin^{2}\frac{\theta}{2}\right)$$
  
Kinematical relations  
$$\frac{d^{2}\sigma}{dQ^{2}dx} = \left(\frac{4\pi\alpha^{2}}{xQ^{4}}\right) \cdot \left((1-y)F_{2}(x) + xy^{2}F_{1}(x)\right)$$

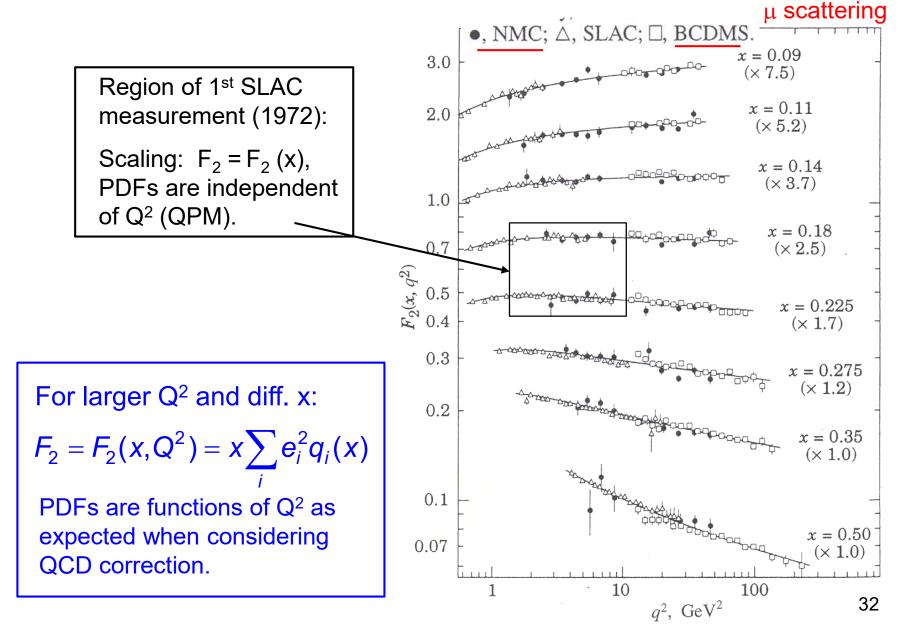
#### Deep inelastic electron-proton scattering:

- Free partons:  $F_2 = F_2(x) \iff$  "scaling" ( $F_2$  only function of x)
- Spin  $\frac{1}{2}$  partons:  $2xF_1(x) = F_2(x)$  (Callan-Gross relation)

$$\frac{d^2\sigma}{dQ^2dx} = \left(\frac{4\pi\alpha^2}{xQ^4}\right) \cdot \left(\frac{1+(1-y)^2}{2}F_2(x)\right) + \mathcal{O}(\alpha_s)$$

Parton level, i.e. ignoring QCD corrections

### Scaling & scaling violation (early measurements)



## Experimental determination of quark and gluon distributions

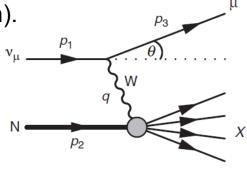
Scattering at isoscalar target N with #n=#p and assuming isospin symmetry:

Isoscalar Target: #n=#p  

$$F_2^N = \frac{1}{2} \left[ F_2^p + F_2^n \right] = \frac{5}{18} x \cdot \left[ u + \overline{u} + d + \overline{d} \right] + \frac{1}{9} x \cdot \left[ s + \overline{s} \right]$$

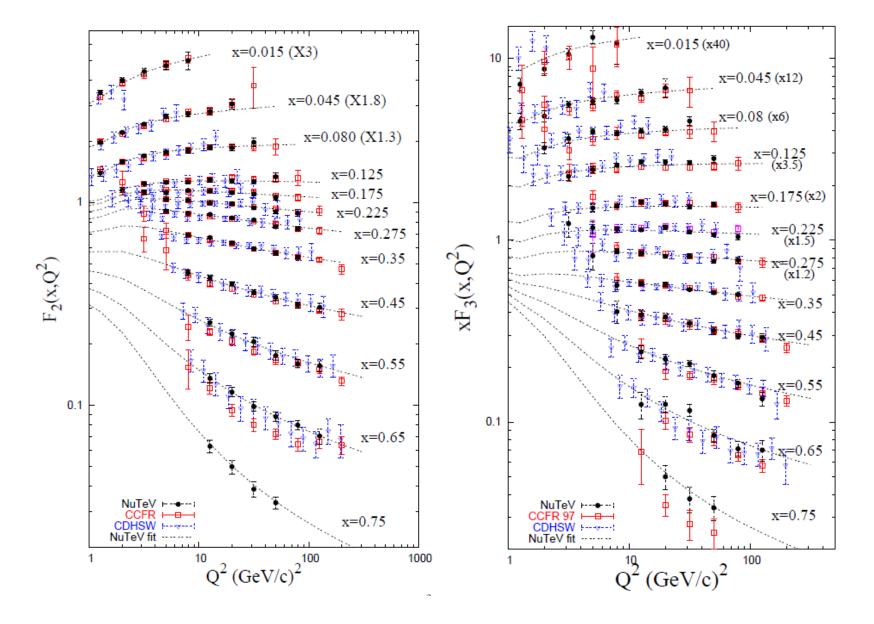
Use neutrino (anti-neutrino) scattering (w/ W exchange) to distinguish between quark and anti-quark and to extract experimentally valence and sea quark distributions ( $\rightarrow$  new structure functions F<sub>3</sub> to account parity violation). For iso-scalar target one finds:

$$F_{2}^{\nu N} = x[u + \overline{u} + d + \overline{d}] \qquad xF_{3}^{\nu N} = x[(u + d) - (\overline{u} + \overline{d})]$$
$$F_{2}^{\nu N} = x[Q(x) + \overline{Q}(x)] \qquad xF_{3}^{\nu N} = x[Q(x) - \overline{Q}(x)]$$



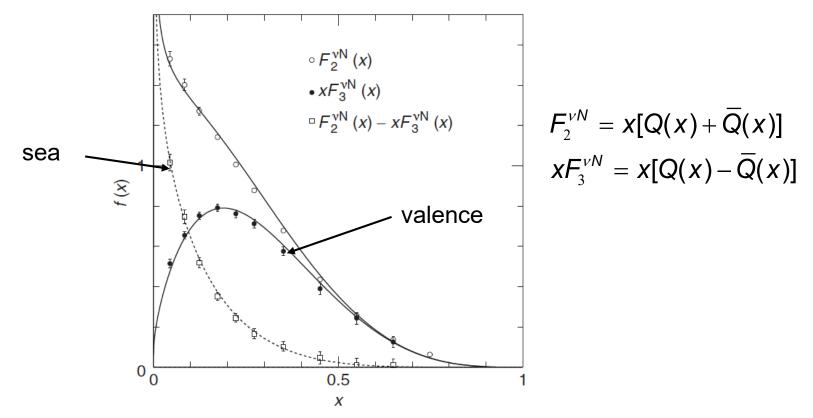
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Measurement:  $F_2^{\nu N} + xF_3^{\nu N} = 2xQ(x)$   $\implies$  Sea and valence quarks  $F_2^{\nu N} - xF_3^{\nu N} = 2x\overline{Q}(x)$   $\implies$  Sea quarks



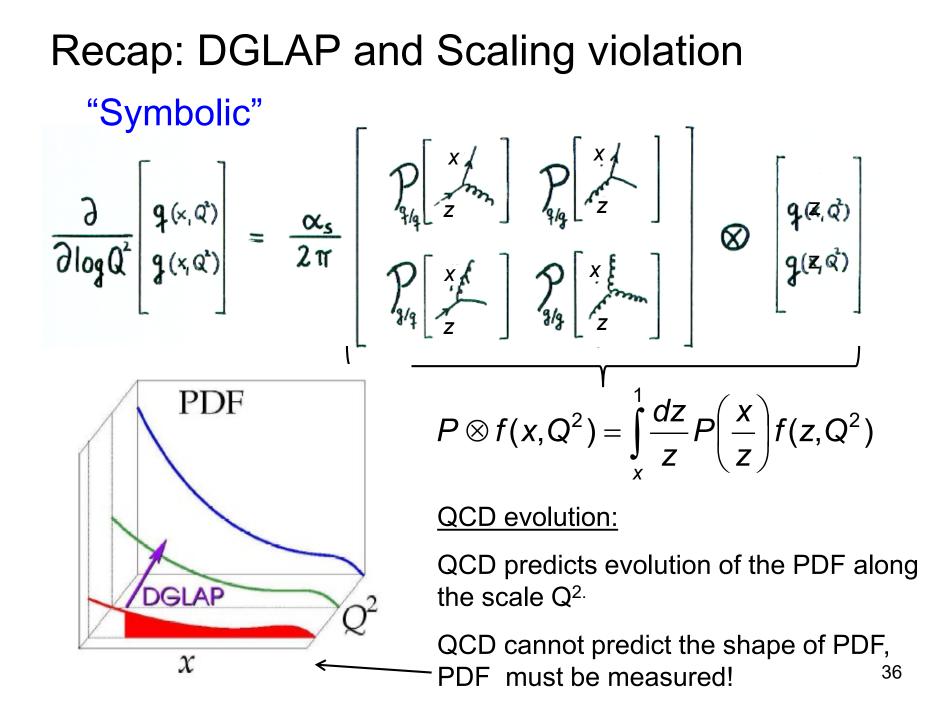
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#### Determination of valence and sea quark distributions:



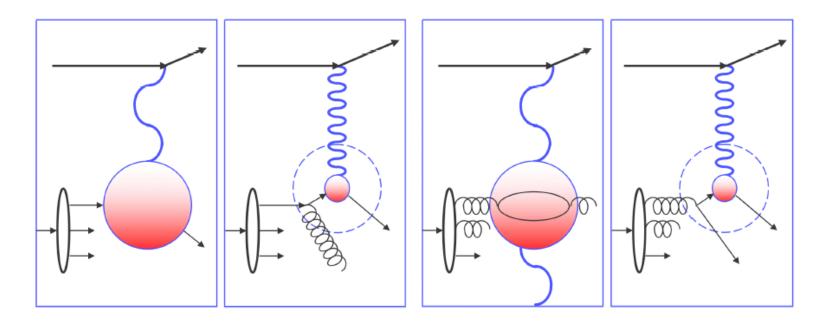
Taken from M. Thomson, based on Phys. Rev. D74:012008, 2006

Measurements of  $F_2^{vN}(x)$  and  $xF_3^{vN}(x)$  in neutrino/antineutrino nucleon deep inelastic scattering in the NuTeV experiment at Fermilab for 7.5 GeV<sup>2</sup>  $< Q^2 < 13.0$  GeV<sup>2</sup>, compared to the expected distributions from the parton distribution functions at  $Q^2 = 10$  GeV<sup>2</sup> shown in Figure 8.17. Data from Tzanov *et al.* (2006).



# Scaling violations for "pedestrians"

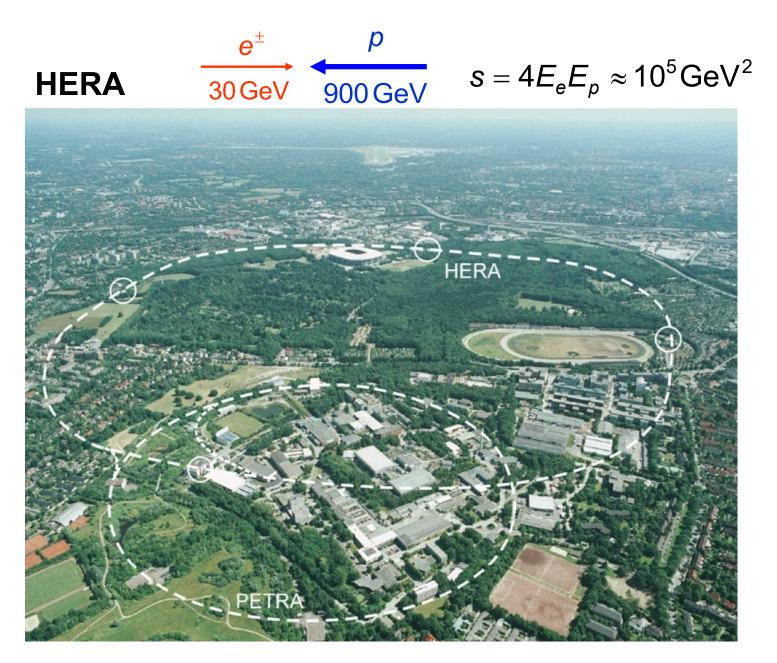
Large x: valence quark scattering Small x: sea quark scattering

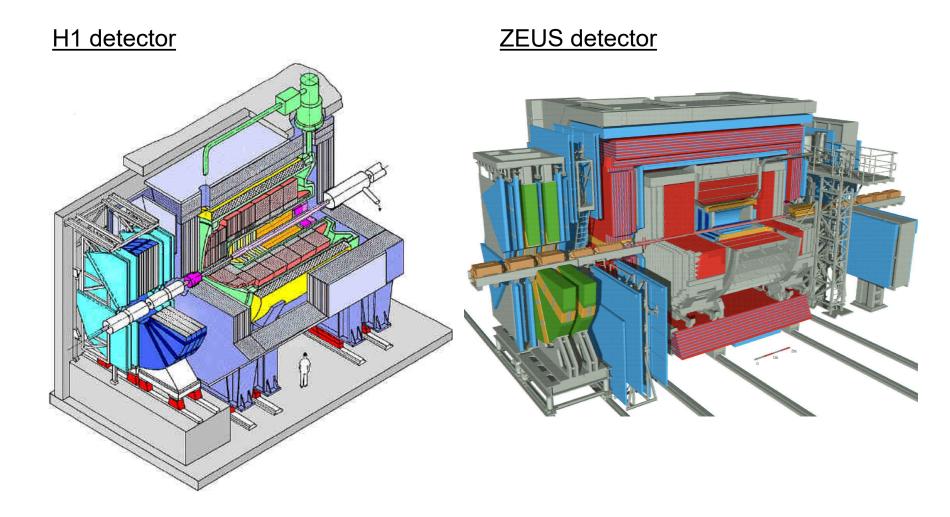


 $Q^2 \uparrow \Rightarrow F_2 \downarrow$  for fixed (large) x  $Q^2 \uparrow \Rightarrow F_2 \uparrow$  for fixed (small) x

Scaling violation is one of the clearest manifestation of radiative effects predicted by QCD. PDFs depend on Q<sup>2</sup> (structure functions)

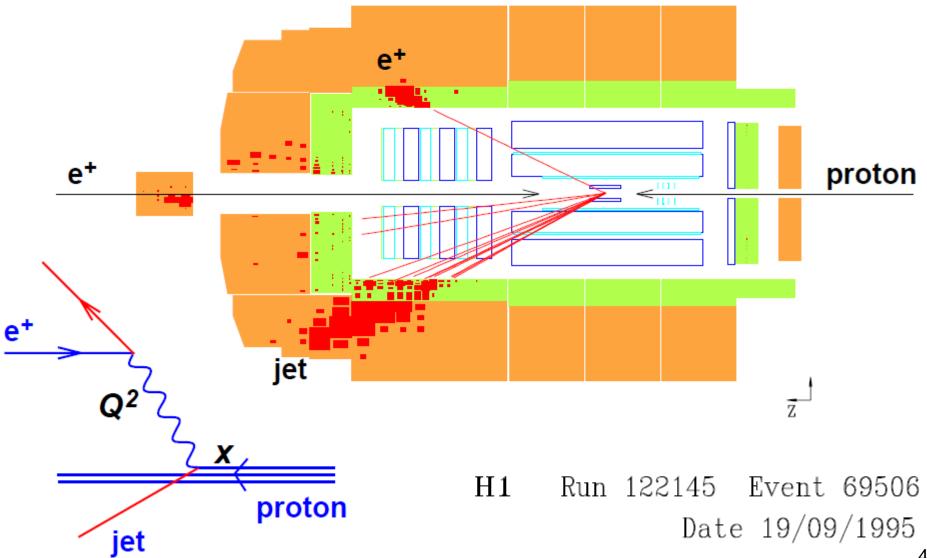
## Measurement of PDFs at HERA



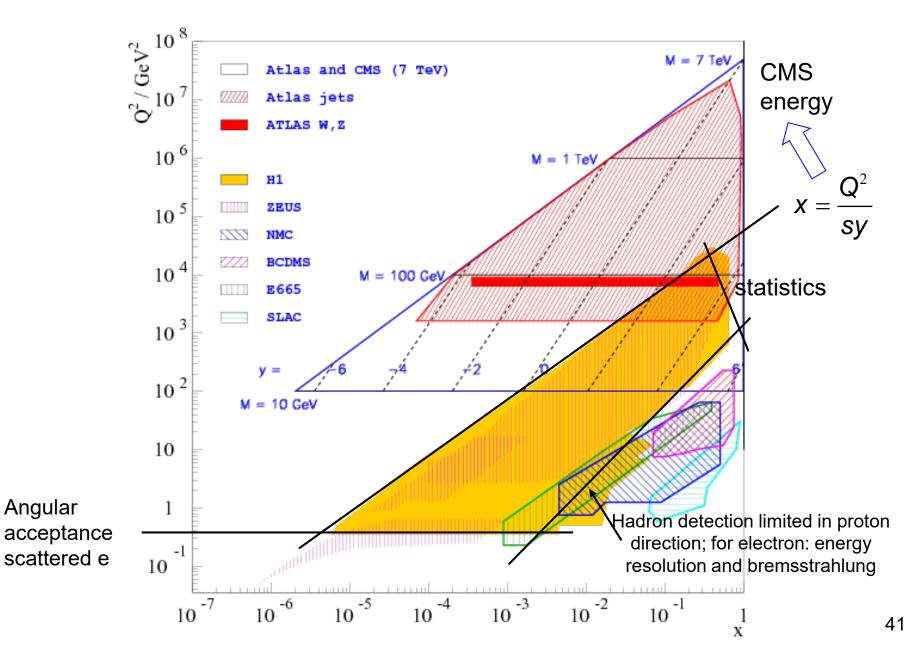


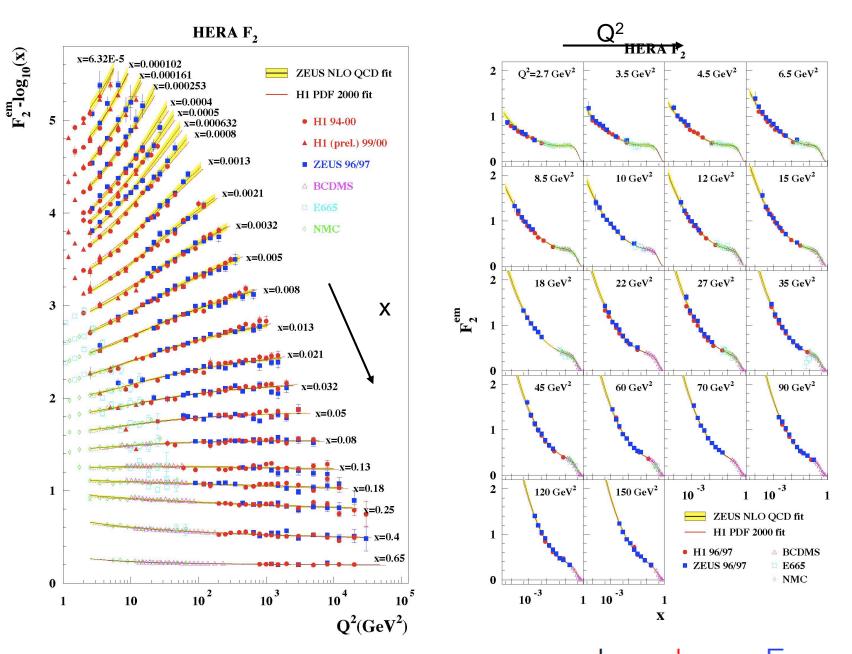


### $Q^2 = 25030 \text{ GeV}^2$ ; y = 0.56; x=0.50



#### Accessible kinematic region





Determination of PDFs relies on factorization  $d\sigma \sim d\sigma_{eq} \times F_2$ 

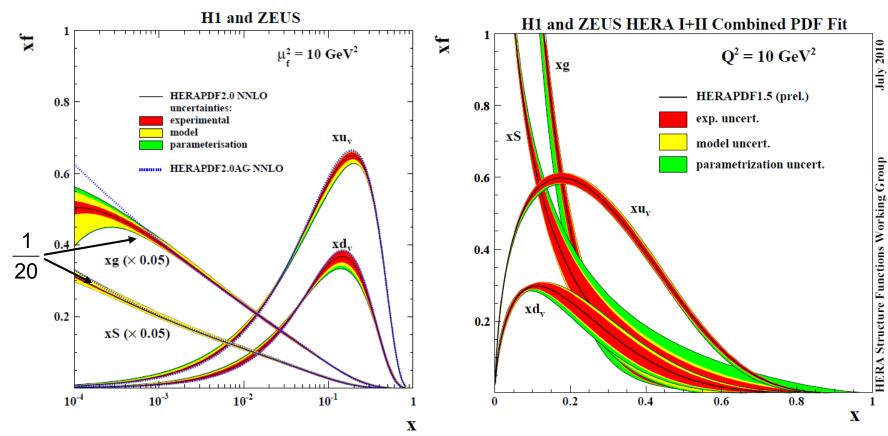
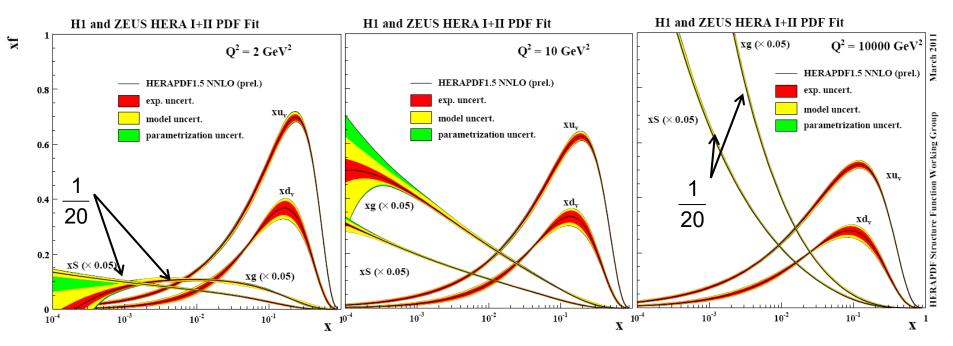


Figure 23: The parton distribution functions  $xu_v$ ,  $xd_v$ ,  $xS = 2x(\overline{U} + \overline{D})$  and xg of HERAPDF2.0 NNLO at  $\mu_f^2 = 10 \text{ GeV}^2$ . The gluon and sea distributions are scaled down by a factor 20. The experimental, model and parameterisation uncertainties are shown. The dotted lines represent HERAPDF2.0AG NNLO with the alternative gluon parameterisation, see Section 6.8.

Linear scale for illustration (it is not exactly the same pdf set, but nearly)

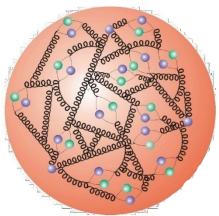
#### Eur.Phys.J.C75 (2015) 12, 580

#### Q2 evolution

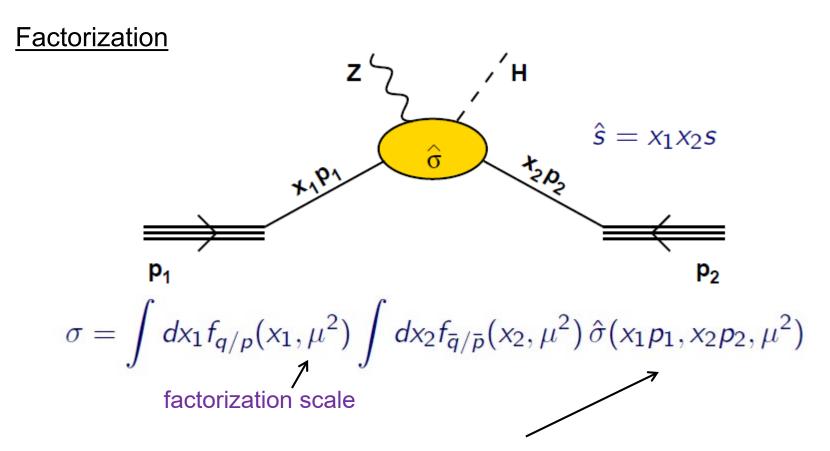


https://www.desy.de/h1zeus/combined\_results/

The most dramtic of these [experimental consequences], that the protons viewed at ever higher resolution would appear more and more as field energy (soft glue), was only clearly verified at HERA ... F. Wilczek [Nobel Prize 2004]



# 4. Hadron-hadron collisions



Total cross section is factorized into a "hard part" and into a "normalization" from process independent parton distribution functions.

For all cross section estimation the knowledge of the PDF is necessary.  $_{45}$